

Grant Opportunity Guidelines

Australian Heritage Grants 2022-23 Grant Opportunity

Opening date:	23 September 2022
Closing date and time:	5 pm Australian Eastern Daylight Time 07 November 2022
	Please take account of time zone differences when submitting your application.
Commonwealth policy entity:	Department of Climate Change, Energy, the Environment and Water
Administering entity:	Department of Industry, Science and Resources
Enquiries:	If you have any questions, contact us on 13 28 46
Date guidelines released:	23 September 2022
Type of grant opportunity:	Open competitive

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1. Australian Heritage Grants processes

The Australian Heritage Grants Program is designed to achieve Australian Government objectives

The program contributes to the Department of Climate Change, Energy, the Environment and Water (DCCEEW) Outcome 2: Conserve, protect and sustainably manage Australia's biodiversity, ecosystems, environment and heritage through research, information management, supporting natural resource management, establishing and managing Commonwealth protected areas, and reducing and regulating the use of pollutants and hazardous substances. DCCEEW works with stakeholders to plan and design the grant program according to the <u>Commonwealth Grants Rules and Guidelines</u>.



The grant opportunity opens

We publish the grant guidelines on business.gov.au and GrantConnect.



You complete and submit a grant application

You complete the application form, addressing all the eligibility and assessment criteria in order for your application to be considered.



We assess all grant applications

We review the applications against eligibility criteria and notify you if you are not eligible.

We assess eligible applications against the assessment criteria including an overall consideration of value for money and compare it to other eligible applications.



We make grant recommendations

We provide advice to the decision maker on the merits of each application.



Grant decisions are made

The decision maker decides which applications are successful.



We notify you of the outcome

We advise you of the outcome of your application. We may not notify unsuccessful applicants until grant agreements have been executed with successful applicants.



We enter into a grant agreement

We will enter into a grant agreement with successful applicants. The type of grant agreement is based on the nature of the grant and proportional to the risks involved.



Delivery of grant

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.



Evaluation of the Australian Heritage Grants Program

DCCEEW evaluate the specific grant activity and the Australian Heritage Grants Program as a whole. We base this on information you provide to us and that we collect from various sources.

2. About the grant program

The Australian Heritage Grants Program (the program) provides up to \$5.347 million per annum with a call for applications each year. This is the fifth year of this program.

The Australian Heritage Grants 2022-23 grant opportunity will provide support to protect and promote the National Heritage Values of the National Heritage List places through strengthened recognition, management, conservation and public engagement.

National Heritage listed places are referred to as 'listed places' in these guidelines. All listed places recognised for their National Heritage Values as outlined in the listed place's gazettal notice are eligible for funding under this grant opportunity. Refer to Appendix A for all places included on Australia's National Heritage List and links to their listed Values.

The intended outcomes of the program are:

- improved recognition, conservation and preservation of National Heritage List place National Heritage Values, and access to National Heritage listed places
- enriched appreciation of the National Heritage Values of listed National Heritage places through improved community engagement.

This document sets out:

- the eligibility and assessment criteria
- how we consider and assess grant applications
- how we notify applicants and enter into grant agreements with grantees
- how we monitor and evaluate grantees' performance
- responsibilities and expectations in relation to the opportunity.

The Department of Industry, Science and Resources (the department) is responsible for administering this grant opportunity on behalf of the Department of Climate Change, Energy, the Environment and Water (DCCEEW).

We administer the program according to the <u>Commonwealth Grants Rules and Guidelines</u> (CGRGs)¹.

We have defined key terms used in these guidelines in the glossary at section 14.

You should read this document carefully before you fill out an application.

3. Grant amount and grant period

For this grant opportunity approximately \$5.347 million is available in total.

¹ https://www.finance.gov.au/government/commonwealth-grants/commonwealth-grants-rules-guidelines

3.1. Grants available

You can apply for, and receive, up to two grants per listed place through this grant opportunity. You must be able to deliver each project independently.

The grant amount will be up to 80 per cent of eligible project expenditure (grant percentage).

- The minimum grant amount is \$25,000 for each grant.
- The maximum grant amount is \$400,000 for each grant.

You are responsible for the remaining 20 per cent of eligible project costs and all ineligible project costs.

Contributions to your project may be cash or in-kind contributions. In-kind contributions must be assigned a monetary value and be auditable.

Contributions can come from any source including state, territory and local government grants.

Under exceptional circumstances, you can request an exemption from the contribution to project costs as part of your application. Exemption requests will be considered as part of the grant selection process as per section 8. To receive an exemption you must clearly demonstrate that your organisation is unable to meet 20 per cent of project costs through either financial or in-kind contributions. Circumstances may include, but are not limited to, physical and fiscal impacts to National Heritage Listed places by natural disasters, severe weather events and COVID 19.

We cannot fund your project if it receives funding from another Commonwealth government grant.

You can apply for a grant for your project under more than one Commonwealth program, but if your application is successful, you must choose either the Australian Heritage Program 2022-23 grant opportunity grant or the other Commonwealth grant.

3.2. Project period

The maximum project period is 25 months.

You must complete your project by 31 March 2025.

4. Eligibility criteria

We cannot consider your application if you do not satisfy all eligibility criteria.

4.1. Who is eligible?

To be eligible you must:

- have an Australian Business Number (ABN), unless you are not entitled to an ABN
- be the site owner of the listed place/s (Appendix A), or
- be the site manager of the listed place/s (Appendix A) and provide the current management arrangements or a current letter of support for the Round 5 application from the site owner, or

where you are not the site owner or site manager of the listed place/s, provide a current letter of support for the Round 5 application from the site owner and/or site manager of the listed place/s (Appendix A). A template is available at Appendix B.

and be one of the following entities:

- an Australian State/Territory Government agency or body
- an Australian local government agency or body as defined in section 14
- a Commonwealth corporate entity
- an entity incorporated in Australia (this includes Indigenous land management organisations registered with the Office of the Registrar of Indigenous Corporations)
- a registered Aboriginal and Torres Strait Islander land council
- a partnership
- an individual.

If you are applying as an individual you must be 18 years of age or older.

If you are applying as:

- an incorporated association or not for profit organisation and you do not have an active state or territory incorporated association registration or ACNC registration at the time of application, provide the Constitutional documents and/or Articles of Association that demonstrate the character of the organisation
- a partnership, provide a copy of your partnership agreement.

Joint applications are acceptable, provided you have a lead organisation who is the main driver of the project and is eligible to apply. For further information on joint applications, refer to section 7.2.

4.2 Additional eligibility requirements

In addition to the eligibility criteria above, you must:

- be able to meet your share of project costs (a minimum 20 per cent of total project cost) unless you are requesting an exemption
- provide the relevant mandatory attachments
- agree that you will deliver your project in accordance with relevant legislation, policies and industry standards (listed at section 10.2)
- agree that you have, or will obtain, any licenses or approvals, required to undertake the project.

We may waive the requirement to meet your share of project costs under exceptional circumstances, where you can clearly demonstrate that your organisation is unable to meet this through either financial or in-kind contributions. Before completing this part of your application, contact us on 13 28 46.

4.3 Who is not eligible?

You are not eligible to apply if you are:

- an organisation, or your project partner is an organisation, included on the National Redress Scheme's website on the list of 'Institutions that have not joined or signified their intent to join the Scheme' (www.nationalredress.gov.au)
- an employer of 100 or more employees that has <u>not complied</u> with the Workplace Gender Equality Act (2012)
- an unincorporated association
- a trust (however, an incorporated trustee may apply on behalf of a trust)
- a non-corporate Commonwealth entity.

5. What the grant money can be used for

5.1. Eligible activities

To be eligible your project must:

- do one or more of the following at one or more listed places in Appendix A:
 - maintain, protect or conserve the National Heritage Values (see Appendix A)
 - repair essential infrastructure impacted by severe weather events where repairs are not covered by insurance and are essential to the maintenance, protection and/or conservation of the National Heritage Values
 - improve engagement with, and awareness of, the National Heritage Values
 - improve access to a listed place
- include eligible activities and eligible expenditure
- be completed by 31 March 2025.

Eligible activities must be directly related to the project and can include:

- activities identified in the management plan for the protection of the National Heritage Values of the listed place
- developing a new, or revised management plan for a listed place, where this is not a statutory obligation under state legislation
- activities that align with strategies and priorities for the protection of the National Heritage
 Values of the listed place (where there is no management plan in place)
- essential/priority building or site works to conserve and enhance National Heritage Values
- activities that enhance the Australian public's understanding of, engagement with, and access to the National Heritage Values of a listed place

- developing innovative ways to present National Heritage Values of a listed place (e.g. website
 or app interactive tours, virtual reality tours) which makes them accessible without needing to
 be on-site
- improving physical access to areas with the specific aim of fostering awareness of the National
 Heritage Values of the listed place without impacting on those values
- improving accessibility for people with disabilities including physical alterations (e.g. ramps, alterations to paths) as well as accessible services such as plain English or large text guides, hearing loops etc.
- improving access to a heritage place by specific parts of a community, for example developing educational program or materials targeted at people from other cultures or socio-economic groups.

We may also approve other activities. The program delegate (who is a DISR manager within the department with responsibility for the program) makes the final decision on eligible project activities and may give additional guidance on activities if required.

A list of Australia's National Heritage places is at Appendix A. Any place added to the National Heritage List prior to the grant opportunity closing date will be considered a listed place and be eligible under the grant opportunity.

Note that projects that require approval under the <u>Environment Protection and Biodiversity</u> <u>Conservation Act 1999</u> (the EPBC Act) must allow for this approval process which may take a number of months. The approval process may influence your ability to deliver the proposed works within the required timeframe (Refer to section 10.2 of the Act or the <u>Significant Impact Guidelines</u> 1.1 – <u>Matters of National Environmental Significance</u>).

5.2 Eligible expenditure

You can only spend grant funds on eligible expenditure you have incurred on an agreed project as defined in your grant agreement.

Not all expenditure on your project may be eligible for grant funding. The program delegate (who is a DISR manager within the department with responsibility for the program) makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

be a direct cost of the project

² http://www.environment.gov.au/epbc

Eligible expenditure items include:

- salaries for staff working on the project, direct salary and on-costs (maximum of 30 per cent allowance for on-costs) for personnel directly employed for the project activities (on a pro-rata basis relative to their time commitment)
- contractor costs or expert advice
- research costs
- communication and promotional costs
- costs you incur to obtain planning, environmental or other regulatory approvals during the project period
- materials and equipment hire/purchase
- domestic travel including meals, accommodation and transportation
- catering required to conduct project activities such as workshops at the Heritage site locality
- project contingency costs up to a maximum of 10% of the eligible project costs (note that payments are based on actual costs incurred)
- administrative costs related to the project.

You must incur the project expenditure between the project start and end date for it to be eligible unless stated otherwise. You must not commence your project until you execute a grant agreement with the Commonwealth.

5.3 What you cannot use the grant for

Expenditure items that are not eligible are:

- administrative costs and overheads related to the ongoing operations of an organisation or an
 individual's commercial operation (e.g. project coordination, office accommodation, office
 equipment hire, phone/internet costs, electricity costs, printing/photocopying, insurance costs,
 costs associated with legally required documents such as cultural heritage place searches,
 permits etc.)
- associated fees paid to the commonwealth, state, territory and local governments for costs you
 incur to obtain planning, environmental or other regulatory approvals during the project period
- alcohol
- international travel or international accommodation expenses
- salaries and labour related to the ongoing operations of your organisation or commercial operation
- celebrations or promotional activities not associated with activities detailed in a management plan
- commercial tourism developments
- roadworks or helipads

- amenity upgrades, except where these are demonstrated to directly relate to accessibility improvements or improved engagement with, and awareness of, the National Heritage Values of one or more of the listed places, e.g. repair of existing facilities where they form part of the heritage values
- camp kitchens
- facilities, including function and office facilities, for activities not focused on the National Heritage Values of the listed place
- retrospective funding or works underway
- establishing or upgrading food outlets on listed places
- the purchase, lease, transfer or acquisition of land or property.

6. The assessment criteria

You must address all assessment criteria in your application. We will assess your application based on the weighting given to each criterion.

The application form asks questions that relate to the assessment criteria below. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and grant amount requested. You should provide evidence to support your answers. The application form displays character limits for each response.

We will only consider funding applications that score at least 60 per cent against each assessment criterion, as these represent best value for money.

6.1. Assessment criterion 1

The extent that your project maintains, protects, conserves and improves access to places on the National Heritage list (40 points)

You must describe the activity and provide information that demonstrates:

- how your project:
 - maintains, protects, conserves and/or improves access to the National Heritage Value/s of the listed place and/or
 - improves engagement or awareness of the National Heritage Value/s for which the place was listed
- how your project aligns with management plans, strategies and priorities, and broader national, regional or local plans and priorities applicable to the listed place (you may attach excerpts of the relevant documents to support your response - refer to section 7.1 for guidance)

6.2. Assessment criterion 2

Capacity, capability and resources to deliver the project (30 points)

You must demonstrate this by:

- proving your track record, and project partner track record (if you have project partners), to successfully carry out similar projects
- describing how you will manage the project including budget and risk management. A project plan must be attached (refer to section 7.1.1 for guidance)
- identifying your strategy to maintain the project outcomes beyond the term of grant funding.

6.3. Assessment criterion 3

Impact of grant funding on your project (30 points)

You must demonstrate this by:

- justifying the funding amount requested with respect to the project activities and intended outcomes
- justifying the need for grant funding including the urgency of the project.

7. How to apply

Before applying, you should read and understand these guidelines, the sample <u>application form</u> and the sample <u>grant agreement</u> published on <u>business.gov.au</u> and <u>GrantConnect</u>. Applicants should read all eligibility and assessment criteria closely and attach detailed evidence that supports the assessment criteria (where applicable).

You will need to set up an account to access our online portal.

To apply, you must:

- complete and submit your application through the online portal
- provide all the information requested
- address all eligibility and assessment criteria
- include all necessary attachments.

You can view and print a copy of your submitted application on the portal for your own records.

You are responsible for making sure your application is complete and accurate. Giving false or misleading information is a serious offence under the *Criminal Code Act 1995* (Cth). If we consider that you have provided false or misleading information we may not progress your application. If you find an error in your application after submitting it, you should call us immediately on 13 28 46.

After submitting your application, we can contact you for clarification if we find an error. The acceptance of any additional information provided after the submission of your application is at the discretion of the Program Delegate. Additional information should not materially change your

application at the time it was submitted and therefore may be refused if deemed to be purely supplementary.

If you need further guidance around the application process, or if you are unable to submit an application online, contact us at business.gov.au or by calling 13 28 46.

7.1. Attachments to the application

You must provide the following documents with your application:

- where you are the site manager of the listed place/s, provide the current management arrangements or a current letter of support for the Round 5 application from the site owner/s (refer to the sample at Appendix B)
- where you are not the site owner or site manager of the listed place/s, provide current letter of support for the Round 5 application from the site owner and/or site manager of the listed place/s for your project (refer to the sample at Appendix B)
- for joint applications, a letter of support from each of the project partners (refer to section 7.2)
- a project plan as outlined in section 7.1.1 to support your claims against assessment criterion 2
- trust deed (if applicable)
- proof of identity documentation outlined in section 7.3 (if applicable)
- if you are applying as an incorporated association or not for profit organisation and you do not have an active state or territory incorporated association registration or ACNC registration at the time of application, Constitutional documents and/or Articles of Association that demonstrate the character of the organisation
- if you are applying as a partnership, a copy of your partnership agreement.

You may also attach:

- excerpts of the relevant sections from the following documents where applicable for the listed place to support your claims against the assessment criterion 1:
 - management plan for the listed place (where it exists)
 - strategies and priorities for the listed place
 - o broader national and regional plans and priorities relative to the listed place.

You must attach supporting documentation to the application form in line with the instructions provided within the form. You should only attach requested documents. The total of all attachments cannot exceed 20MB. We will not consider information in attachments that we do not request.

7.1.1 Project Plan

Your project plan should contain the following in order to be competitive:

- a summary of your project including key objectives and outcomes
- a background of your organisation and your key management staff

- scope of the project and overview of project activities, including milestones
- project timeline
- a breakdown of roles and responsibilities
- a communication plan identifying key stakeholders
- a risk management framework identifying risks, impacts and mitigation strategies.

7.2. Joint applications

We recognise that some organisations may want to join together as a group to deliver a project. In these circumstances, you must appoint a lead organisation. Only the lead organisation can submit the application form and enter into the grant agreement with the Commonwealth. The application should identify all members of the proposed group and include a letter of support from each of the project partners. Each letter of support should be for the Round 5 application and include:

- details of the project partner
- an overview of how the project partner will work with the lead organisation and any other project partners in the group to successfully complete the project
- an outline of the relevant experience and/or expertise the project partner will bring to the group
- the roles/responsibilities the project partner will undertake, and the resources it will contribute (if any)
- details of cash or in-kind contributions (if any)
- details of a nominated management level contact officer.

You must have a formal arrangement in place with all parties prior to execution of the grant agreement.

7.3 Proof of identity documentation

If you are applying as an individual you must provide one primary source document and one secondary source document listed below. This can include:

Primary source

- Australian driver licence (all states and territories)
- Current Australian passport
- Expired Australian passport which has not been cancelled and was current within the preceding two years
- Australian Visa
- Birth Certificate
- Change of name certificate.

Secondary source

Medicare card

- Marriage certificate
- Enrolment with the Australian Electoral Commission
- Bank or financial institution statement (including bank book, credit, savings or cheque accounts)
- Centrelink pensioner concession card or Department of Veteran Affairs card or other entitlement card issued by Australian Government
- Australian Tax Office assessment
- Home insurance papers
- Motor vehicle registration.

7.4. Timing of grant opportunity

You can only submit an application between the published opening and closing dates. We cannot accept late applications.

If you are successful, we expect you will be able to commence your project around March 2023.

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Assessment of applications	8 weeks
Approval of outcomes of selection process	4 weeks
Negotiations and award of grant agreements	4 weeks
Notification to unsuccessful applicants	2 weeks following awarding of grant agreements
Earliest start date of grant activity	March 2023
Project completion date	31 March 2025
End date of grant commitment	30 June 2025

8. The grant selection process

We first review your application against the eligibility criteria and consider your request for an exemption to contribute to the project costs. If eligible, we will then assess it against the assessment criteria. Only eligible applications will proceed to the assessment stage.

We consider your application on its merits, based on:

- how well it meets the criteria
- how it compares to other applications
- whether it provides value with relevant money.

When assessing whether the application represents value with relevant money, we will have regard to:

- the overall objectives of the grant opportunity
- the evidence provided to demonstrate how your project contributes to meeting those objectives
- the relative value of the grant sought.

We refer your application to the departmental assessment committee, which includes representatives from the Department of Climate Change, Energy, the Environment and Water. The committee may also seek additional advice from independent technical experts.

The committee will review your application against the assessment criteria and compare it to other eligible applications in the funding round before recommending which projects to fund.

The committee will also consider the following factors:

- alignment of the project to the National Heritage Values of the listed place
- the contribution of the project to addressing likely impacts of climate change or extreme weather on the National Heritage Values of the listed place
- the importance of providing funding to undertake projects as a means of contributing to economic stimulus in response to the ongoing effects of COVID 19
- urgency of conservation
- geographical spread of projects
- project types
- previous Australian Government heritage grant funding for the place. (Priority will be given to places that have not been funded under previous grant rounds)
- any non-compliance in relation to previous grant activity.

If applications are scored the same, the committee will consider value for money and the above factors to recommend applications for funding.

The committee will be required to perform their duties in accordance with the CGRGs.

To recommend a project for funding it must score highly against every assessment criterion. While we assess all eligible applications against the same assessment criteria, we will score your application relative to the project size, complexity and grant amount requested. The evidence you provide to support your application should be proportional to the size and complexity of your project.

If the selection process identifies unintentional errors in your application, we may contact you to correct or clarify the errors, but you cannot make any material alteration or addition.

8.1 Who will approve grants?

The Minister decides which grants to approve taking into account the recommendations of the committee and the availability of grant funds.

The Minister's decision is final in all matters, including:

- the grant approval
- the grant funding to be awarded
- any conditions attached to the offer of grant funding.

We cannot review decisions about the merits of your application.

The Minister will not approve funding if there are insufficient program funds available across relevant financial years for the program.

9. Notification of application outcomes

We will advise you of the outcome of your application in writing. If you are successful, we advise you of any specific conditions attached to the grant.

If you are unsuccessful, we will give you an opportunity to discuss the outcome with us. You can submit a new application for the same (or similar) project in any future funding rounds. You should include new or more information to address the weaknesses that prevented your previous application from being successful. If a new application is substantially the same as a previous ineligible or unsuccessful application, we may refuse to consider it for assessment.

10. Successful grant applications

10.1 Grant agreement

You must enter into a legally binding grant agreement with the Commonwealth. Our selection will depend on the size and complexity of your project. Each grant agreement has general terms and conditions that cannot be changed. A sample grant agreement is available on <u>business.gov.au</u> and GrantConnect.

We will manage the grant agreement through the online portal. This includes issuing and executing the grant agreement.

Execute means both you and the Commonwealth have accepted the agreement. You must not start any activities until a grant agreement is executed. We are not responsible for any expenditure you incur until a grant agreement is executed.

The approval of your grant may have specific conditions determined by the assessment process or other considerations made by the Program Delegate or Minister. We will identify these in the offer of grant funding.

If you enter an agreement under the Australian Heritage Grants 2022-23, you cannot receive other grants for this project for the same activities from other Commonwealth, State or Territory granting programs.

State, Territory or local government funding may contribute to the required 20 per cent cocontribution of eligible project expenditure or any ineligible expenditure, or fund additional or complementary project activities which meet project outcomes.

The Commonwealth may recover grant funds if there is a breach of the grant agreement.

You will have 30 days from the date of a written offer to execute this grant agreement with the Commonwealth. During this time, we will work with you to finalise details.

The offer may lapse if both parties do not sign the grant agreement within this time. Under certain circumstances, we may extend this period. We base the approval of your grant on the information you provide in your application. We will review any required changes to these details to ensure they do not impact the project as approved by the Minister.

10.2 Project specific legislation, policies and industry standards

You must comply with all relevant laws and regulations and Australian Government sanctions in undertaking your project. You must also comply with the specific legislation/policies/industry standards that follow. It is a condition of the grant funding that you meet these requirements. We will include these requirements in your grant agreement.

In particular, you will be required to comply with:

- The Burra Charter³ (The Australia ICOMOS Charter for Places of Cultural Significance) 2013 (Burra Charter)
- Engage early⁴ guidance for proponents on best practice Indigenous engagement for environmental assessments under the Environment Protection and Biodiversity Conservation Act 1999 (EPBC Act)
- Arrive Clean, Leave Clean⁵ (how to prevent the spread of invasive plant diseases and weeds during activities such as weeding and revegetation)

You must comply with all relevant laws and regulations. The following requirements may apply:

■ <u>The Building Code 2016</u>⁶ (Building Code) and the Australian Government's <u>Work Health and Safety Accreditation Scheme</u>⁷ (the Scheme).

³ https://australia.icomos.org/publications/burra-charter-practice-notes/#bc

⁴ https://www.dcceew.gov.au/environment/invasive-species/publications/arrive-clean-leave-clean

⁵ https://www.dcceew.gov.au/environment/invasive-species/publications/arrive-clean-leave-clean

⁶ The Building Code 2016 can be found at https://www.abcc.gov.au/building-code/building-code-2016

⁷ The Work Health and Safety Accreditation Scheme can be found at https://www.fsc.gov.au/what-accreditation-1

- Environment Protection and Biodiversity Conservation Act 1999 (the EPBC Act)⁸. A project that may have a significant impact on the National Heritage Values of the listed place must refer that action to the Minister for a decision on whether assessment and approval is required under the EPBC Act. It may need to undergo an approval process that takes a number of months. Note that the approval process may influence the timeframe within which you can deliver your proposed works. Please refer to the Significant Impact Guidelines⁹ for further guidance.
- Work Health and Safety (WHS). You must comply (and ensure that any of your subcontractors comply) with the provisions of all relevant statutes, regulations, by-laws and requirements of any Commonwealth, state, territory or local authority including those arising under the WHS Laws. You will be responsible for identification and assessment of safety risks, identification and implementation of mitigation strategies to address such risk, and for ensuring the safety of any participants in the project.

10.2.1 Child safety requirements

You must comply with all relevant legislation relating to the employment or engagement of anyone working on the project that may interact with children, including all necessary working with children checks.

You must implement the <u>National Principles for Child Safe Organisations</u>¹⁰ endorsed by the Commonwealth.

You will need to complete a risk assessment to identify the level of responsibility for children and the level of risk of harm or abuse and put appropriate strategies in place to manage those risks. You must update this risk assessment at least annually.

You will also need to establish a training and compliance regime to ensure personnel are aware of, and comply with, the risk assessment requirements, relevant legislation including mandatory reporting requirements and the National Principles for Child Safe Organisations.

You will be required to provide an annual statement of compliance with these requirements in relation to working with children.

10.3 How we pay the grant

The grant agreement will state the:

- maximum grant amount we will pay
- proportion of eligible expenditure covered by the grant (grant percentage)
- any in-kind contributions you will make
- any financial contribution provided by you or a third party.

⁸ https://www.dcceew.gov.au/environment/epbc

⁹ https://www.dcceew.gov.au/sites/default/files/documents/nes-guidelines 1.pdf

¹⁰ https://www.humanrights.gov.au/our-work/childrens-rights/national-principles-child-safe-organisations

We will not exceed the maximum grant amount under any circumstances. If you incur extra costs, you must meet them yourself.

For grants up to and including \$50,000, we will make an initial payment of 90 per cent of the grant on execution of the grant agreement.

For grants over \$50,000, we will make an initial payment on execution of the grant agreement. We will make a subsequent payment six months in advance based on your progress against milestones and your actual eligible expenditure. Payments are subject to satisfactory progress on the project.

We set aside 10 per cent of the total grant funding for the final payment. We will pay this when you submit a satisfactory end of project report demonstrating you have completed outstanding obligations for the project. We may need to adjust your progress payments to align with available program funds across financial years and/or to ensure we retain a minimum 10 per cent of grant funding for the final payment.

10.4 Tax obligations

If you are registered for the Goods and Services Tax (GST), where applicable we will add GST to your grant payment and provide you with a recipient created tax invoice. You are required to notify us if your GST registration status changes during the project period. GST does not apply to grant payments to government related entities¹¹.

Grants are assessable income for taxation purposes, unless exempted by a taxation law. We recommend you seek independent professional advice on your taxation obligations or seek assistance from the <u>Australian Taxation Office</u>. We do not provide advice on tax.

11. Announcement of grants

We will publish non-sensitive details of successful projects on <u>GrantConnect</u>. We are required to do this by the <u>Commonwealth Grants Rules and Guidelines</u> unless otherwise prohibited by law. We may also publish this information on <u>business.gov.au</u>. This information may include:

- name of your organisation
- title of the project
- description of the project and its aims
- amount of grant funding awarded
- Australian Business Number
- business location
- your organisation's industry sector.

¹¹ See Australian Taxation Office ruling GSTR 2012/2 available at ato.gov.au

12. How we monitor your grant activity

12.1 Keeping us informed

You should let us know if anything is likely to affect your project or organisation.

We need to know of any key changes to your organisation or its business activities, particularly if they affect your ability to complete your project, carry on business and pay debts due.

You must also inform us of any changes to your:

- name
- addresses
- nominated contact details
- bank account details.

If you become aware of a breach of terms and conditions under the grant agreement you must contact us immediately.

You must notify us of events relating to your project and provide an opportunity for the Minister or their representative to attend.

12.2 Reporting

You must submit reports in line with the grant agreement. We will provide the requirements for these reports as appendices in the grant agreement. We will remind you of your reporting obligations before a report is due. We will expect you to report on:

- progress against agreed project milestones
- project expenditure, including expenditure of grant funds.
- contributions of participants directly related to the project.

The amount of detail you provide in your reports should be relative to the project size, complexity and grant amount.

We will monitor the progress of your project by assessing reports you submit and may conduct site visits to confirm details of your reports if necessary. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

12.2.1 Progress reports

Progress reports must:

- include details of your progress towards completion of agreed project activities
- show the total eligible expenditure incurred to date
- include eligible expenditure
- be submitted by the report due date (you can submit reports ahead of time if you have completed relevant project activities).

We will only make grant payments when we receive satisfactory progress reports.

You must discuss any project or milestone reporting delays with us as soon as you become aware of them.

12.2.2 End of project report

When you complete the project, you must submit an end of project report.

End of project reports must:

- include the agreed evidence as specified in the grant agreement
- identify the total eligible expenditure incurred for the project
- include a declaration that the grant money was spent in accordance with the grant agreement and to report on any underspends of the grant money
- be submitted by the report due date.

12.2.3 Ad-hoc reports

We may ask you for ad-hoc reports on your project. This may be to provide an update on progress, or any significant delays or difficulties in completing the project.

12.3 Independent audits

We may ask you to provide an independent audit report. An audit report will verify that you spent the grant in accordance with the grant agreement. The audit report requires you to prepare a statement of grant income and expenditure. The report template is available on business.gov.au and GrantConnect.

12.4 Compliance visits

We may visit you during the project period, or at the completion of your project to review your compliance with the grant agreement. We may also inspect the records you are required to keep under the grant agreement. We will provide you with reasonable notice of any compliance visit.

12.5 Grant agreement variations

We recognise that unexpected events may affect project progress. In these circumstances, you can request a variation to your grant agreement, including:

- changing project milestones
- extending the timeframe for completing the project but not beyond 31 March 2025
- changing project activities.

The program does not allow for an increase of grant funds.

If you want to propose changes to the grant agreement, you must put them in writing before the project grant agreement end date. You can submit a variation request via our online portal.

If a delay in the project causes milestone achievement and payment dates to move to a different financial year, you will need a variation to the grant agreement. We can only move funds between financial years if there is enough program funding in the relevant year to allow for the revised payment schedule. If we cannot move the funds, you may lose some grant funding.

You should not assume that a variation request will be successful. We will consider your request based on factors such as:

- how it affects the project outcome
- consistency with the program policy objective, grant opportunity guidelines and any relevant policies of the department
- changes to the timing of grant payments
- availability of program funds.

12.6 Evaluation

DCCEEW will evaluate the grant opportunity to measure how well the outcomes and objectives have been achieved. We may use information from your application and project reports for this purpose. We may also interview you or ask you for more information to help us understand how the grant impacted you and to evaluate how effective the program was in achieving its outcomes.

DCCEEW may contact you up to two years after you finish your project for more information to assist with this evaluation.

12.7 Grant acknowledgement

If you make a public statement about a project funded under the program, including in a brochure or publication, you must acknowledge the grant by using the following:

'This project received grant funding from the Australian Government.'

If you erect signage in relation to the project, the signage must contain an acknowledgement of the grant.

13. Probity

We will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRGs.

13.1 Conflicts of interest

Any conflicts of interest could affect the performance of the grant opportunity or program. There may be a conflict of interest, or perceived conflict of interest, if our staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government officer or member of an external panel
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently or
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the grant program/ grant opportunity.

As part of your application, we will ask you to declare any perceived or existing conflicts of interests or confirm that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform us in writing immediately.

Conflicts of interest for Australian Government staff are handled as set out in the Australian Public Service Code of Conduct (Section 13(7))¹² of the Public Service Act 1999 (Cth). Committee members and other officials including the decision maker must also declare any conflicts of interest.

We publish our <u>conflict of interest policy</u>¹³ on the department's website. The Commonwealth policy entity also publishes a conflict of interest policy on its website.

13.2 How we use your information

Unless the information you provide to us is:

- confidential information as per 13.2.1, or
- personal information as per 13.2.3,

we may share the information with other government agencies for a relevant Commonwealth purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of grants.

Australian Heritage Grants 2022-23 Grant opportunity guidelines

¹² https://www.legislation.gov.au/Details/C2019C00057

¹³ https://www.industry.gov.au/sites/default/files/July%202018/document/pdf/conflict-of-interest-and-insider-trading-policy.pdf?acsf_files_redirect

13.2.1 How we handle your confidential information

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- the information is commercially or culturally sensitive
- disclosing the information would cause unreasonable harm to you or someone else
- you provide the information with an understanding that it will stay confidential.

13.2.2 When we may disclose confidential information

We may disclose confidential information:

- to the committee and our Commonwealth employees and contractors, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the responsible Minister or Assistant Minister
- to a House or a Committee of the Australian Parliament.

We may also disclose confidential information if

- we are required or authorised by law to disclose it
- you agree to the information being disclosed, or
- someone other than us has made the confidential information public.

13.2.3 How we use your personal information

We must treat your personal information according to the Australian Privacy Principles (APPs) and the *Privacy Act 1988* (Cth). This includes letting you know:

- what personal information we collect
- why we collect your personal information
- to whom we give your personal information.

We may give the personal information we collect from you to our employees and contractors, and other Commonwealth employees and contractors, so we can:

- manage the program
- research, assess, monitor and analyse our programs and activities.

We, or the Minister, may:

- announce the names of successful applicants to the public
- publish personal information on the department's websites.

You may read our Privacy Policy¹⁴ on the department's website for more information on:

- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

13.2.4 Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the *Freedom of Information Act 1982* (Cth) (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

13.3 Enquiries and feedback

For further information or clarification, you can contact us on 13 28 46 or by <u>web chat</u> or through our <u>online enquiry form</u> on <u>business.gov.au</u>.

We may publish answers to your questions on our website as Frequently Asked Questions.

Our <u>Customer Service Charter</u> is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

If you have a complaint, call us on 13 28 46. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

General Manager
Business Grants Hub
Department of Industry, Science and Resources
GPO Box 2013
CANBERRA ACT 2601

¹⁴ https://www.industry.gov.au/data-and-publications/privacy-policy

You can also contact the Commonwealth Ombudsman ¹⁵ with your complaint (call 1300 362 072).
There is no fee for making a complaint, and the Ombudsman may conduct an independent
investigation.
15 http://www.ombudsman.gov.au/

14. Glossary

Term	Definition
Access	The means or opportunity to promote greater awareness, knowledge and engagement with National Heritage Listed place/s through improved:
	 information on heritage places through new or existing information platforms (such as websites, new technologies or specialised applications such as social media, apps or other site-specific applications) to interpret the National Heritage Values of the place or present the heritage stories for which the place is listed
	 design of resources, products, devices, services or environments to improve informational access to the place
	 design of resources, products, devices, services or environments to improve physical access to the place.
Application form	The document issued by the Program Delegate that applicants use to apply for funding under the program.
Assessment Committee	Consisting of representatives of the Department of Climate Change, Energy, the Environment and Water, which assess applications, and provide recommendations to the Minister for approval.
Conservation	Conservation means all the processes of looking after a place to retain its environmental and cultural significance.
Department	The Department of Industry, Science and Resources.
Eligible activities	The activities undertaken by a grantee in relation to a project that are eligible for funding support as set out in section 5.1.
Eligible application	An application or proposal for services or grant funding under the program that the Program Delegate has determined is eligible for assessment in accordance with these guidelines.
Eligible expenditure	The expenditure incurred by a grantee on a project and which is eligible for funding support as set out in section 5.2.

Term	Definition
Gazettal notice (National Heritage List)	An official publication for the purpose of notifying the actions and decisions of the Australian Government for the inclusion of places in the National Heritage List and their National Heritage Values pursuant to section 324JJ of the <i>Environment Protection and Biodiversity Conservation Act</i> 1999.
Grant agreement	A legally binding contract between the Commonwealth and a grantee for the grant funding.
Grant funding or grant funds	The funding made available by the Commonwealth to grantees under the program.
GrantConnect	The Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRGs.
Grantee	The recipient of grant funding under a grant agreement.
Guidelines	Guidelines that the Minister gives to the department to provide the framework for the administration of the program, as in force from time to time.
In-kind contributions	A contribution that is not a financial contribution. It may include providing labour, equipment or materials. In-kind contributions must be able to be assigned a monetary value and be auditable.
Listed place	A place included in Australia's National Heritage List.
Local government agency or body	A local governing body as defined in the <i>Local Government</i> (Financial Assistance) Act 1995 (Cth).

Term	Definition	
Management plan	A plan intended to provide sufficient information for managers to protect and manage the National Heritage Values of National Heritage Listed places. A management plan should:	
	 comprehensively describe the place, state its official National Heritage Values and identify any other heritage listings 	
	 specify the objectives, policies and principles that will govern the management of the place's National Heritage Values 	
	guide day-to-day management	
	 assist in decision-making 	
	 provide guidance on the preparation of project proposals to ensure that there are no adverse impacts on National Heritage Values 	
	 support local, state and Commonwealth approval processes. 	
Minister	The Commonwealth Minister for the Environment and Water.	
National Heritage List	The National Heritage List is Australia's list of natural, historic and Indigenous places of outstanding significance to the nation. The List has been established to list places of outstanding heritage significance to Australia.	
Non-income-tax-exempt	Not exempt from income tax under Division 50 of the Income Tax Assessment Act 1997 (Cth) or under Division 1AB of Part III of the Income Tax Assessment Act 1936 (Cth).	
National Heritage Values	The heritage value/s that causes the National Heritage List place to meet one or more of the National Heritage Criteria and is prescribed under the Environment Protection and Biodiversity Conservation Act 1999 .	
	The Australian Heritage Database documents how each National Heritage List place meets one or more of the 9 National Heritage Criteria (Criterion A to I).	

Term	Definition
Personal information	Has the same meaning as in the <i>Privacy Act 1988</i> (Cth) which is:
	Information or an opinion about an identified individual, or an individual who is reasonably identifiable:
	whether the information or opinion is true or not; and
	b. whether the information or opinion is recorded in a material form or not.
Preservation	As per the Burra Charter preservation means maintaining a place in its existing state and retarding deterioration.
Program Delegate	A DISR manager within the department with responsibility for the program.
Program funding or Program funds	The funding made available by the Commonwealth for the program.
Project	A project described in an application for grant funding under the program.
Project Partner	An entity which brings relevant experience and expertise to the project and joins the lead organisation to deliver the project.
Project Partner – Formal Arrangement	This is an arrangement between you and your project partners. It may be in the form of an exchange of letters or emails, or more formal such as a Memorandum of Understanding. Each arrangement should outline the resources contributed by the project partner, the length of the arrangement and any other detail you think is relevant to the project.
Site Manager	The individual or organisation engaged to manage the National Heritage Listed place or part of a place. For larger National Heritage places with more than one manager, the Site Manager is the manager of the site on which the project is being undertaken.
	Contact details for the site managers can be obtained by contacting us at 13 28 46.

Term	Definition
Site Owner	The individual or organisation identified by DCCEEW as being the designated site owner for the National Heritage place. For larger National Heritage places with more than one owner, the Site Owner is the owner of the site on which the project is being undertaken. Contact details for the site owners can be obtained by contacting us at 13 28 46.

Appendix A. Australia's National Heritage List

Contact details for the site owners and site managers can be obtained by contacting us at 13 28 46.

Places	Location
Abbotsford Convent	VIC
Adelaide Park Lands and City Layout	SA
Australian Academy of Science Building	ACT
Australian Alps National Parks and Reserves	NSW, ACT, VIC
Australian Cornish Mining Sites (Burra)	SA
Australian Cornish Mining Sites (Moonta)	SA
Australian Fossil Mammal Sites (Naracoorte)	SA
Australian Fossil Mammal Sites (Riversleigh)	QLD
Australian War Memorial and the Memorial Parade	ACT
Batavia Shipwreck Site and Survivor Camps Area 1629 - Houtman Abrolhos	WA
Bondi Beach	NSW
Bonegilla Migrant Camp - Block 19	VIC
Brewarrina Aboriginal Fish Traps (Baiames Ngunnhu)	NSW
Brickendon Estate	TAS
Budj Bim National Heritage Landscape - Mt Eccles Lake Condah Area	VIC
Budj Bim National Heritage Landscape - Tyrendarra Area	VIC
Cascades Female Factory	TAS
Cascades Female Factory Yard 4 North	TAS
Castlemaine Diggings National Heritage Park	VIC
Centennial Park	NSW
Cheetup Rock Shelter	WA
City of Broken Hill	NSW

Places	Location
Coal Mines Historic Site	TAS
Cockatoo Island	NSW
<u>Coranderrk</u>	VIC
Cyprus Hellene Club - Australian Hall	NSW
Dampier Archipelago (including Burrup Peninsula)	WA
Darlington Probation Station	TAS
Dinosaur Stampede National Monument	QLD
Dirk Hartog Landing Site 1616 - Cape Inscription Area	WA
Echuca Wharf	VIC
Ediacara Fossil Site - Nilpena	SA
Elizabeth Springs	QLD
Erawondoo Hill	WA
Eureka Stockade Gardens	VIC
First Government House Site	NSW
Fitzgerald River National Park	WA
Flemington Racecourse	VIC
Flora Fossil Site - Yea	VIC
Fremantle Prison (former)	WA
Glass House Mountains National Landscape	QLD
Glenrowan Heritage Precinct	VIC
Gondwana Rainforests of Australia	NSW, QLD
Governors' Domain and Civic Precinct	NSW
Grampians National Park (Gariwerd)	VIC
Great Barrier Reef	QLD
Great Ocean Road	VIC
Greater Blue Mountains	NSW

Places	Location
HMAS Sydney II and HSK Kormoran	WA
HMS Sirius	EXT
HMVS Cerberus	VIC
Heard and McDonald Islands	EXT
Hermannsburg Historic Precinct	NT
High Court - National Gallery Precinct	ACT
High Court of Australia (former)	VIC
Hyde Park Barracks	NSW
ICI Building (former)	VIC
Jordan River Levee	TAS
Kakadu National Park	NT
Kamay Botany Bay: botanical collection sites	NSW
K'gari (Fraser Island)	QLD
Kingston and Arthurs Vale Historic Area	EXT
Koonalda Cave	SA
Ku-ring-gai Chase National Park, Lion, Long and Spectacle Island Nature Reserves	NSW
Kurnell Peninsula Headland	NSW
Lesueur National Park	WA
Lord Howe Island Group	NSW
Macquarie Island	TAS
Mawsons Huts and Mawsons Huts Historic Site	ANTA
Melbourne Cricket Ground	VIC
Melbourne's Domain Parkland and Memorial Precinct	VIC
Moree Baths and Swimming Pool	NSW
Mount William Stone Hatchet Quarry	VIC

Places	Location
Murtoa No. 1 Grain Store	VIC
Myall Creek Massacre and Memorial Site	NSW
Newman College	VIC
<u>Ngarrabullgan</u>	QLD
North Head - Sydney	NSW
Old Government House and the Government Domain	NSW
Old Great North Road	NSW
Old Parliament House and Curtilage	ACT
Parkes Observatory	NSW
Parramatta Female Factory and Institutions Precinct	NSW
Point Cook Air Base	VIC
Point Nepean Defence Sites and Quarantine Station Area	VIC
Porongurup National Park	WA
Port Arthur Historic Site	TAS
Purnululu National Park	WA
QANTAS hangar - Longreach	QLD
Queen Victoria Market	VIC
Quinkan Country	QLD
Recherche Bay (North East Peninsula) Area	TAS
Richmond Bridge	TAS
Rippon Lea House and Garden	VIC
Royal Exhibition Building and Carlton Gardens	VIC
Royal National Park and Garawarra State Conservation Area	NSW
Shark Bay, Western Australia	WA
Sidney Myer Music Bowl	VIC
Snowy Mountains Scheme	NSW

Places	Location
South Australian Old and New Parliament Houses	SA
Stirling Range National Park	WA
Sydney Harbour Bridge	NSW
Sydney Opera House	NSW
Tasmanian Wilderness	TAS
The Burke, Wills, King and Yandruwandha National Heritage Place	QLD, SA
The Goldfields Water Supply Scheme	WA
The Ningaloo Coast	WA
The West Kimberley	WA
Tree of Knowledge and curtilage	QLD
<u>Uluru - Kata Tjuta National Park</u>	NT
Warrumbungle National Park	NSW
Wave Hill Walk Off Route	NT
Western Tasmania Aboriginal Cultural Landscape	TAS
Wet Tropics of Queensland	QLD
Wilgie Mia Aboriginal Ochre Mine	WA
Willandra Lakes Region	NSW
Witjira-Dalhousie Springs	SA
Woolmers Estate	TAS
Wurrwurrwuy Stone Arrangements	NT

Appendix B. Letter of support from site owner or site manager

Australian Heritage Grants

I am the [site owner/site manager] of the listed site at [include address].

I confirm my support for the proposed project to be undertaken by [name of organisation] and their application for a grant under the Australian Heritage Grants 2022-23 program.

I understand the proposed project involves the following:

[brief description of project]

I note that if successful, [name of organisation] will enter into a grant agreement with the Commonwealth Government, and that the outcome of the project will become the property of the site owner.

Signature		
Name:		
Position title:		
Date		