



Automotive Transformation Scheme ATS Application for transfer of investment form

This form is for ATS participants who wish to treat investment undertaken by a person, who may or may not be an ATS participant (the original investor), as eligible investment undertaken by the ATS participant.

Applicants for a transfer of investment are encouraged to review ATS Customer Guideline 7: Transfer of Registration and Investment prior to completing this form. ATS Customer Guidelines, the Automotive Transformation Scheme Act 2009, the Automotive Transformation Scheme Regulations 2010 and the Automotive Transformation Scheme Order 2010 and other program documentation are available at www.business.gov.au/assistance/automotive-transformation-scheme.

A transfer of investment may only be considered with reference to regulations 2.34 to 2.36 of the Automotive Transformation Scheme Regulations 2010.

Completing and submitting the transfer of investment form

Applicants must complete this form and provide:

- a business case for the transfer of the investment; and
- a contractual agreement under which the original investor relinquishes any right to claim assistance under the Scheme in relation to the eligible investment; and
- an updated business plan; and
- sales, production and investment forecasts for five years; and
- the attachments listed at Part D.

The *ATS Application for Transfer of Investment* form can be sent by email to ats@industry.gov.au or by post to:

Automotive Section
AusIndustry
GPO Box 2013
CANBERRA ACT 2601

A hard copy may also be left during business hours at any AusIndustry office (see *Appendix 1*).

All values and figures should be recorded in full, as whole numbers. Do not use decimal points.

Privacy and confidentiality

The confidentiality of information provided to AusIndustry, a division of the Department of Industry, Innovation and Science (the Department), by applicants for the ATS is protected by the relevant provisions and penalties of the *Automotive Transformation Scheme Act 2009*, the *Automotive Transformation Scheme Regulations 2010*, the *Public Service Act 1999*, the *Public Service Regulations 1999*, the *Privacy Act 1988*, the *Crimes Act 1914*, the *Criminal Code* and general law.

Subregulation 6.5(1) of the *Automotive Transformation Scheme Regulations 2010* allows the Minister to publish the following information about an ATS participant or a person who was an ATS participant:

- (a) that the person is, or was, an ATS participant and the period of the person's participation in the ATS;
- (b) the amount of assistance paid to the person in an ATS year.

Subregulation 6.5(2) of the *Automotive Transformation Scheme Regulations 2010* requires the Minister to publish a notice that the Minister has given a person named in the notice permission to:

- (a) apply for registration as an ATS participant; or
- (b) continue registration as an ATS participant;

on the basis that the registration would be in the national interest.

Detailed confidential information contained in quarterly returns may be disclosed for audit purposes to contractors engaged by the Department and to other Commonwealth agencies for audit, reporting and law enforcement purposes. Further, the Department may release confidential information if it obtains the applicant's consent or is required or permitted by law to do so. This could happen, for example, if the Department is required to respond to a resolution of the Parliament, or the order of a court.

Getting help

Please contact your Customer Service Manager or visit business.gov.au or call **13 28 46** if you need assistance or experience difficulties with completing and submitting this form.

Automotive Transformation Scheme
ATS Application for transfer of investment form

Part A - ATS participant details

A1 Full name of the ATS participant applying for transfer of investment (in the case of groups, the principal participant's details)

Full name of participant (eg. Company ABC Pty Ltd within its own capacity and as trustee for XYZ Trust)

ATS Registration Number

Registration Category

-
<input type="text"/>

Part B - Original investor details

B1 Full name of the original investor (in the case of groups, the principal participant's details)

Full name of participant (eg. Company ABC Pty Ltd within its own capacity and as trustee for XYZ Trust)

Trading name

Australian Business Number (ABN)

Australian Company Number (ACN)

B2 Is the original investor a current ATS participant?

If 'Yes', please provide the details below.

ATS Registration Number

Registration Category

-
<input type="text"/>

Part C - Circumstances of relationship

In considering this application, the Secretary must make a determination on the circumstances of the relationship between the ATS participant (the applicant) and the original investor.

C1

Is the ATS participant (the applicant) a group of related bodies corporate of which the original investor becomes a member after the investment undertaken by the original investor occurred?

C2

Has the ATS participant (the applicant) taken over the entire business operations from the original investor, other than by acquiring shares in the original investor?

C1.3

Has the ATS participant (the applicant) taken over the part of the business operation for which the investment was undertaken?

Part D - Updated Business Plan and other attachments

D1 Updated business plan

The object of ATS is to encourage investment and innovation in the Australian automotive industry and to place the industry on an economically sustainable footing. The object is to be achieved in a way that improves environmental outcomes and promotes the development of workforce skills. As such, an updated business plan incorporating the eligible investment of the original investor must be provided and contain:

- details of the strategies that will enable the applicant to carry on sustainable operations in the Australian automotive industry for a 5 year period starting on 1 January of the year following the current year; and
- operational plans, details of financial commitment and controls, financial projections (see Parts F and G) and assumptions on which forecasts are based; and
- details about how the transferee will meet the object of the Act, particularly economic sustainability, in a way that will improve environmental outcomes and promote the development of workforce skills; and
- current employment information including the number of employees and total manufacturing employees.

Has the updates business plan been forwarded to AusIndustry?

If yes, please indicate whether it was submitted by post or email and the date in which it was delivered?

Post/Email

Date (dd/mm/yyyy)

D2 Other attachments

In order for the Secretary to consider the transfer of investment, the following documents are mandatory and must be submitted with this application.

Please confirm the attachments have been provided.

D2.1 Business case

A written business case setting out why the investment by the original investor should be treated as eligible investment of the ATS participant.

D2.2 Contractual agreement

A signed contractual agreement between the original investor and the ATS participant under which the original investor relinquishes any right to claim assistance under ATS in relation to that investment or if the original investor should subsequently seek registration as an ATS participant.

Attachments can be sent by email to ats@industry.gov.au or by post to:

Automotive Section
AusIndustry
GPO Box 2013
CANBERRA ACT 2601

A hard copy may also be left during business hours at any AusIndustry office (see *Appendix 1*).

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Note: receipt of these documents is required before the transfer of investment in ATS will be considered.

Please fill in the appropriate forecast figures for the ATS participant's registration type.

Motor Vehicle Producer (MVP & MVP Group)	▶	<u>Go to Part E</u>
Automotive Component Producer (ACP & ACP Group)	▶	<u>Go to Part F</u>
Automotive Machine Tool or Tooling Producer (AMTP & AMTP Group)	▶	<u>Go to Part F</u>
Automotive Service Provider (ASP & ASP Group)	▶	<u>Go to Part F</u>

**Part E - Forecast sales, production and investment
for MVPs**

This section is to be completed by MVPs only and forms part of the business plan requirement for the provision of operational plans, details of financial commitment and controls, financial projections and assumptions on which forecasts are based.

Forecast values must cover the five year period starting on 1 January of the year following the current year and incorporate the eligible investment of the original investor. For example, forecast sales, production and investment for the period Q1, 2016 to Q4, 2020 must be updated.

Please enter the quarters for the relevant five year period in the ‘Quarter and year’ column of the table below and provide updated forecast sales, production and investment figures for the five year period.

Figures should be reported in whole Australian dollars without decimals.

Table E1 – Forecast sales and production values

Quarter and year (eg Q3, 2016)	Estimated sales value of participants’ ATS goods and services	Estimated production value of passenger motor vehicles, engines and engine components sold in Australia and New Zealand	Estimated production value of MVP production other than passenger motor vehicles, engines and engine components sold in Australia and New Zealand	Estimated other Commonwealth assistance received in relation to passenger motor vehicles, engines and engine components sold
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0

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	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0

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Table E2 – Forecast plant and equipment investment

Quarter and year (eg Q3, 2016)	Estimated investment in plant and equipment (excluding operating leases)	Estimated investment in plant and equipment through operating leases	Estimated other Commonwealth assistance received in relation to P&E investment
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0

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Table E3 – Forecast research and development investment

Quarter and year (eg Q3, 2016)	Estimated investment in research and development (excluding contracted and offshore R&D)	Estimated investment in contracted research and development (excluding offshore R&D)	Estimated investment in offshore research and development	Estimated other Commonwealth assistance received in relation to research and development investment
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0

[Go to Part G](#)

**Part F - Forecast sales and investment for ACPs,
AMTPs and ASPs**

This section is to be completed by ACPs, AMTPs, ASPs and forms part of the business plan requirement for the provision of operational plans, details of financial commitment and controls, financial projections and assumptions on which forecasts are based.

Forecast values must cover the five year period starting on 1 January of the year following the current year and incorporate the eligible investment of the original investor. For example, forecast sales, production and investment for the period Q1, 2016 to Q4, 2020 must be updated.

Please enter the quarters for the relevant five year period in the 'Quarter and year' column of the table below and provide updated forecast sales and investment figures for the five year period.

Figures should be reported in whole Australian dollars without decimals.

Table F1 – Forecast sales and plant and equipment investment

Quarter and year (eg Q3, 2016)	Estimated sales value of participants' ATS goods and services	Estimated investment in plant and equipment (excluding operating leases)	Estimated investment in plant and equipment through operating leases	Estimated other Commonwealth assistance received in relation to plant and equipment investment
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$

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	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0

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Table F2 – Forecast research and development investment

Quarter and year (eg Q3, 2016)	Estimated investment in research and development (excluding contracted and offshore R&D)	Estimated investment in contracted research and development (excluding offshore R&D)	Estimated investment in offshore research and development	Estimated other Commonwealth assistance received in relation to research and development investment
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
	A\$	A\$	A\$	A\$
Year total	A\$ 0	A\$ 0	A\$ 0	A\$ 0

Part G – Declaration and signature

G1 Declaration by applicant or authorised person

I declare that I am authorised by the applicant to complete this form on behalf of the applicant and to sign and submit this declaration on behalf of the applicant.

I declare that I have read the *Automotive Transformation Scheme Act 2009* the *Automotive Transformation Scheme Regulations 2010* and the *Automotive Transformation Scheme Order 2010* and the relevant ATS Customer Guidelines and understand my obligations under each of them.

I will maintain, or create and maintain, documents that evidence all particulars contained in Quarterly Returns.

I understand that I may be requested to provide further clarification or documentation to verify the information supplied in this form.

I declare that the information contained in this application together with any statement provided is, to the best of my knowledge, true, accurate and complete in all material particulars. I also understand that the provision of false or misleading information or the making of a false or misleading statement to the Australian Government in an application is a serious offence.

Note: Please mark each box to complete the declaration. An application will not be accepted by AusIndustry for assessment unless all of the boxes above are marked.

G2 Authorised signatory details

The person signing this form **must** be the authorised ATS signatory of the company.

Title	
If 'Other', please specify	
Given name	
Other name(s)	
Family name	
Job title	
	If 'Other', please specify:
Phone number (include area code)	
Email address	

G3 Authorised signature

Signature

Date

Print name of signatory

Position of signatory

Appendix 1: AusIndustry Business Services Locations – State Offices

For more information, visit business.gov.au or call 13 28 46. The programme is managed from AusIndustry's Victorian State Office. AusIndustry's office hours are 8.30 am to 5.00 pm, Monday to Friday, public holidays excluded.

<p>NEW SOUTH WALES - State Office Level 5, 341 George Street, Sydney Postal Address GPO Box 9839 SYDNEY NSW 2001 Phone (02) 9226 6000 Fax (02) 9226 6002 or (02) 9226 6001 E:AusindFeedbackNSW@industry.gov.au</p> <p>Wagga Wagga - Regional Office 63-65 Johnston Street, Wagga Wagga Postal Address PO Box 5761 WAGGA WAGGA NSW 2650 Phone (02) 6921 1828 Fax (02) 6921 6415</p> <p>Wollongong - Regional Office State Government Office Building 84 Crown Street, Wollongong Postal Address PO Box 5427 WOLLONGONG NSW 2520 Phone (02) 4254 5534 Fax (02) 4225 2607</p> <p>Newcastle - Regional Office IDC – Hunter University Drive, Callaghan Postal Address PO Box 189 HUNTER REGION MC NSW 2310 Phone (02) 4014 5977 Fax (02) 4960 3847</p> <p>Tamworth - Regional Office Level 1, 345 Peel Street, Tamworth Postal Address PO Box 920 TAMWORTH NSW 2340 Phone (02) 6761 3624 Fax (02) 6761 3571</p> <p>VICTORIA - State Office Level 5, 111 Bourke Street, Melbourne Postal Address GPO Box 85 MELBOURNE VIC 3001 Phone (03) 9268 7555 Fax (03) 9268 7599 E:AusindFeedbackVIC@industry.gov.au</p> <p>Ballarat - Regional Office 15 Dawson Street, Ballarat Postal Address PO Box 511 BALLARAT VIC 3353 Phone (03) 5320 5960 Fax (03) 5331 7973</p> <p>Bendigo - Regional Office 56-60 King Street, Bendigo Postal Address PO Box 1332 BENDIGO VIC 3552 Phone (03) 5442 4199 Fax (03) 5441 8941</p>	<p>Trafalgar - Regional Office 107 Princes Highway, Trafalgar PO Box 247 Trafalgar VIC 3824 Phone (03) 5633 3436 Fax (03) 5633 3439</p> <p>QUEENSLAND - State Office Level 12 100 Creek Street, Brisbane Postal Address GPO Box 9839 BRISBANE QLD 4001 Phone (07) 3227 4700 Fax (07) 3227 4730 E:AusindFeedbackQLD@industry.gov.au</p> <p>Gold Coast - Regional Office Level 1, 26 Marine Parade, Southport Postal Address PO Box 1448 SOUTHPORT B.C QLD 4215 Phone (07) 5503 1601 Fax (07) 5503 1628</p> <p>Bundaberg - Regional Office Level 1, Takalvan Street, Bundaberg Postal Address PO Box 1386 BUNDABERG QLD 4670 Phone (07) 4151 0660 Fax (07) 4151 0708</p> <p>Townsville - Regional Office Level 1, 19 Stanley Street, Townsville Postal Address PO Box 326 TOWNSVILLE QLD 4810 Phone (07) 4721 6649 Fax (07) 4721 0753</p> <p>WESTERN AUSTRALIA - State Office Level 25, St Martins Tower 44 St Georges Terrace, Perth Postal Address GPO Box 9839 PERTH WA 6848 Phone (08) 9287 3500 Fax (08) 9287 3511 E:AusindFeedbackWA@industry.gov.au</p> <p>Mandurah - Regional Office 17/38 Mandurah Terrace, Mandurah Postal Address PO Box 1399 MANDURAH WA 6210 Phone (08) 287 3506</p>	<p>SOUTH AUSTRALIA & NORTHERN TERRITORY - State Office 11th Floor, 26 Franklin Street, Adelaide Postal address GPO Box 9839 ADELAIDE SA 5001 Phone (08) 8406 4700 Fax (08) 8406 4717 E: AusindFeedbackSA@industry.gov.au</p> <p>Port Pirie - Regional Office 85 Ellen Street, PORT PIRIE SA 5540 Postal address: as above Phone 1300 742 414</p> <p>Mount Gambier - Regional Office 152 Jubilee Highway, Mount Gambier Postal Address PO Box 1537 MT GAMBIER SA 5290 Phone (08) 8723 1057</p> <p>Darwin - Regional Office Ground Floor, Development House, 76 The Esplanade, Darwin Postal Address PO Box 4816 DARWIN NT 0801 Phone (08) 8941 9250 Fax (08) 8941 5603</p> <p>AUSTRALIAN CAPITAL TERRITORY - National & Territory Offices Industry House 10 Binara Street, Canberra Postal Address GPO Box 9839 CANBERRA ACT 2601 Phone 13 28 46 Fax (02) 6213 7644 E: AusindFeedbackACT@industry.gov.au</p> <p>TASMANIA - State Office 4th Floor, NAB House 86 Collins Street, Hobart Postal Address GPO Box 9839 HOBART TAS 7001 Phone (03) 6230 9915 Fax (03) 6230 9901 E:AusindFeedbackTAS@industry.gov.au</p> <p>Launceston - Regional Office Level 1 Cornwell Square 12-16 St John Street, Launceston Postal Address GPO Box 823 LAUNCESTON TAS 7250 Phone (03) 6700 5883</p>
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