



## Grant Opportunity Guidelines

# Safer Communities Fund Round Six: Early Intervention

<b>Opening date:</b>	28 May 2021
<b>Closing date and time:</b>	17:00 AEST on 5 August 2021 Please take account of time zone differences when submitting your application.
<b>Commonwealth policy entity:</b>	Department of Home Affairs
<b>Administering entity:</b>	Department of Industry, Science, Energy and Resources
<b>Enquiries:</b>	If you have any questions, contact us on 13 28 46.
<b>Date guidelines released:</b>	28 May 2021; Updated 17 February 2022 and <b>30 March 2022</b>
<b>Type of grant opportunity:</b>	Open competitive

**Additional Funding:** In recognition of the high numbers of quality applications received, a further \$50 million in funding was announced through the 2022-23 Budget. This allocation of funding is in addition to the \$50 million allocated through the 2021-22 Mid-Year Economic and Fiscal Outlook (MYEFO), and does not affect the scope, eligibility or merit criteria for applications that were submitted by the closing date of 5 August 2021 under this grant opportunity. The grant opportunity remains closed and no new applications for funding will be accepted.

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# 1. Safer Communities Fund Round Six: Early Intervention Grants processes

**The Safer Communities Fund is designed to achieve Australian Government objectives**  
This grant opportunity is part of the above grant program which contributes to Department of Home Affairs' Outcome 1. The Department of Home Affairs works with stakeholders to plan and design the grant program according to the [Commonwealth Grants Rules and Guidelines](#).



## The grant opportunity opens

We publish the grant guidelines on business.gov.au and GrantConnect.



## You complete and submit a grant application

You complete the application form, addressing all the eligibility and assessment criteria in order for your application to be considered.



## We assess all grant applications

We review the applications against eligibility criteria and notify you if you are not eligible. We assess eligible applications against the assessment criteria including an overall consideration of value with relevant money and compare it to other eligible applications.



## We make grant recommendations

We provide advice to the decision maker on the merits of each application.



## Additional funding allocated

We provide advice to the decision maker on the merits of each application and those that may be funded due to additional funding.



## Grant decisions are made

The decision maker decides which applications are successful.



## We notify you of the outcome

We advise you of the outcome of your application. We may not notify unsuccessful applicants until grant agreements have been executed with successful applicants.



## We enter into a grant agreement

We will enter into a grant agreement with successful applicants. The type of grant agreement is based on the nature of the grant and proportional to the risks involved.



## Delivery of grant

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.



**Evaluation of the Safer Communities Fund**

We evaluate the specific grant activity and Safer Communities Fund as a whole. We base this on information you provide to us and that we collect from various sources.

## 2. About the grant program

The Safer Communities Fund (the program) was established in 2016 and is being delivered over six rounds, with funding under Round Six available up to 2023-24.

The broader aim of the Safer Communities Fund is to support the Australian Government's commitment to deliver safer communities through crime prevention initiatives.

The objectives of the program are:

- boosting the efforts of schools and pre-schools, community organisations and local councils to address crime and anti-social behaviour by funding crime prevention initiatives that benefit the wider community or community organisations (such as fixed and mobile CCTV and lighting, as well as early intervention services)
- protecting schools, and pre-schools, places of religious worship, community organisations and local councils that may be facing security risks associated with racial and/or religious intolerance
- protecting children who are at risk of attack, harassment or violence stemming from racial or religious intolerance.

The intended outcomes of the program are:

- contribute to the enhancement of community safety and improve security through local security infrastructure that benefits the community
- contribute to greater community resilience and wellbeing by addressing crime, anti-social behaviour and other security risks
- help to reduce fear of crime and increase feelings of safety in the Australian community and contribute to greater community resilience
- contribute to the safety of communities that may be at risk of racial and/or religious intolerance.

### 2.1. About the Safer Communities Round Six Early Intervention grant opportunity

These guidelines contain information for the Safer Communities Fund Round Six Early Intervention grants. The scope of each grant opportunity can change for each round, so it is important to read these guidelines to understand the type of organisations that can apply for funding in each round and the activities that are eligible.

Safer Communities Fund Round Six Early Intervention grants target organisations with demonstrated experience in providing early intervention activities to youth at high risk to prevent them from engaging in criminal activity or anti-social behaviour.

The objectives of this grant opportunity are to:

- contribute to the preservation of the safety of Australians through funding local crime prevention activities that address anti-social behaviour by youth at high risk
- help marginalised youth aged 12-24 at high risk to develop life skills, including through educational and vocational skills to prevent them from becoming entrenched in the criminal justice system and to promote inclusion and build community resilience.

The intended outcomes of this grant opportunity are:

- improved engagement of youth at high risk in education, work ready programs and the community more generally
- decreased anti-social behaviour or engagement in criminal activity by youth at high risk.

This document sets out:

- the eligibility and assessment criteria
- how we consider and assess grant applications
- how we notify applicants and enter into grant agreements with grantees
- how we monitor and evaluate grantees' performance
- responsibilities and expectations in relation to the opportunity.

The Department of Industry, Science, Energy and Resources (the department/we) is responsible for administering this grant opportunity on behalf of the Department of Home Affairs.

We administer the program according to the [Commonwealth Grants Rules and Guidelines \(CGRGs\)](#)<sup>1</sup>.

We have defined key terms used in these guidelines in Appendix A.

You should read this document carefully before you fill out an application.

### 3. Grant amount and grant period

Since 2016, the Australian Government has announced a total of \$315.1 million for the program. This includes a further \$50 million announced through the 2022-23 Budget, as well as an additional \$50 million allocated through the 2021-22 MYEFO process.

Approximately \$130 million is available for Safer Communities Fund Round Six which is split across two types of grant opportunities:

- approximately \$10 million is available for Safer Communities Fund Round Six Infrastructure grants
- approximately \$120 million is available for Safer Communities Fund Round Six Early Intervention grants.

The Minister has discretion to determine the final split of funding between these streams, depending on the demand for each stream.

#### 3.1. Grants available

The grant amount will be up to 100 per cent of eligible project expenditure (grant percentage).

- The minimum grant amount is \$200,000
- The maximum grant amount is \$1.5 million.

Each eligible organisation can only submit one application for funding. The maximum \$1.5 million grant amount applies to the single application, which may include multiple project activities taking place at different locations.

We cannot fund your project if it receives funding from other Commonwealth, State, Territory or local government grants.

#### 3.2. Project period

You must complete your project by 30 April 2024.

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<sup>1</sup> <https://www.finance.gov.au/government/commonwealth-grants/commonwealth-grants-rules-guidelines>

## 4. Eligibility criteria

We cannot consider your application if you do not satisfy all eligibility criteria.

### 4.1. Who is eligible?

To be eligible you must:

- have an Australian Business Number (ABN)
- not be currently funded for an Early Intervention project under Round Five of the Safer Communities Fund

and be one of the following entities:

- an incorporated association
- an incorporated not-for-profit organisation

Joint applications are acceptable, provided you have a lead applicant who is the legal entity responsible for the project and is eligible to apply. For further information on joint applications, refer to section 7.3.

### 4.2. Additional eligibility requirements

We can only accept applications:

- where you provide a letter on the template provided that confirms you are active in engaging youth at high risk in your community of focus, from one of the following:
  - local police
  - local educational institution(s)
  - an Australian local government agency or body as defined in section 14
  - state or territory agency responsible for youth justice crime and/or law enforcement
  - state or territory agency that provides support to families and children
- where you provide a letter on the template provided from your board or governing committee (or CEO if there is no board or committee) that confirms that your organisation delivers or has delivered programs that engage youth at-risk of engaging in crime or anti-social behaviour.

We cannot waive the eligibility criteria under any circumstances.

### 4.3. Who is not eligible?

You are not eligible to apply if you are:

- an individual
- an unincorporated association
- any organisation not included in section 4.1
- a trust (however, an incorporated trustee may apply on behalf of a trust provided it meets the eligibility criteria in 4.1 above)
- a non-corporate Commonwealth entity
- an Australian local government agency or body as defined in section 14
- an Australian State/Territory Government agency or body.



#### 4.4. What qualifications or skills are required?

If you are successful, all personnel working on the project must maintain the following requirements:

- police clearance
- Working with Children check
- Working with Vulnerable People registration.

## 5. What the grant money can be used for

### 5.1. Eligible activities

To be eligible your project must:

- include one or more youth engagement activities which may occur at multiple locations
- have at least \$200,000 in eligible expenditure.

Eligible activities must directly relate to the project and involve youth engagement activities aimed at crime prevention, including but not limited to:

- services to youths who are, or are at high risk of, becoming involved with the criminal justice system
- programs that increase the levels of engagement that youth at high risk have with their local communities, by building resilience, cultural connections and skills such as:
  - assisting youth prepare for the workforce
  - facilitating activities directly related to crime prevention, such as transition from detention
  - providing greater opportunities for youth at high risk to engage in community activities, including sports or recreational extra-curricular activities
  - assisting with vocational skills development, including support to address language and literacy issues, addressing school non-attendance, undertaking specific job training, as well as practical skills development such as gaining a driver's licence or educational qualification
  - improving foundation skills such as the ability to work in a team, communication, motivation and reliability.

We may also approve other activities if there are links to how those activities meet the objectives of this round.

### 5.2. Eligible expenditure

You can only spend grant funds on eligible expenditure you have incurred on an agreed project as defined in your grant agreement.

- For guidance on eligible expenditure, see Appendix A.
- For guidance on ineligible expenditure, see Appendix B.

We may update the guidance on eligible and ineligible expenditure from time to time. If your application is successful, the version in place when you submitted your application applies to your project.

If your application is successful, we may ask you to verify project costs that you provided in your application. You may need to provide evidence such as quotes for major costs.

Not all expenditure on your project may be eligible for grant funding. The Program Delegate, who is a Department of Industry, Science, Energy and Resources manager with responsibility for the program, makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

- be a direct cost of the project
- be incurred by you for required project audit activities.

You must incur the project expenditure between the project start and end date for it to be eligible unless stated otherwise.

You may elect to commence your project from the date we notify you that your application is successful. The Commonwealth will not be liable, and should not be held out as being liable, for any activities undertaken before the grant agreement is executed.

## 6. The assessment criteria

You must address all assessment criteria in your application. We will assess your application based on the weighting given to each criterion (score out of 100).

The application form asks questions that relate to the assessment criteria below.

You should provide evidence in support of the statements you make in response to these questions. Examples of the type of evidence you may wish to consider attaching to your application are detailed below. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and grant amount requested. The application form displays size limits for answers.

We will only consider funding applications that score at least 50 per cent against each assessment criterion, as these represent best value for money.

### 6.1. Assessment criterion 1

**The extent that your project will contribute to positive outcomes for youth at high risk to divert them from the criminal justice system and build community resilience (50 points).**

You should demonstrate this by describing:

- a. how your project will help marginalised youth at high risk to develop life skills to prevent them from becoming entrenched in the criminal justice system and to promote inclusion and build resilience. Include information on how many youth will benefit from your project, and how you will identify and encourage them to participate **(25 points)**
- b. the extent that crime and/or anti-social behaviour is an issue in your community **(25 points)**.

In addition to the mandatory eligibility requirements at 4.2, you should attach evidence to support your claims **specific to the youth you are targeting for the proposed activities**, for example:

- crime statistics
- letters of support from partner organisations
- letters of support from the community or other organisations
- police reports
- recent media articles or academic studies and surveys that identify the extent to which crime and anti-social behaviour is an issue in your targeted demographic.

If you are submitting an application for funding that targets multiple groups and/or locations, you should provide relevant evidence for each group/location.

## 6.2. Assessment criterion 2

### **The impact of grant funding on your project (30 points).**

Demonstrate how the grant funding will assist your organisation by:

- a. describing the likelihood the project would proceed without the grant and explain how the grant will benefit the size and timing of your project. (Explain the importance of the grant funding and how your organisation can undertake the project) **(10 points)**
- b. justifying the cost of your project with respect to its scale and intended benefits. You should attach evidence such as quotes or a staffing budget to validate the costs of your project **(20 points).**

## 6.3. Assessment criterion 3

### **Your capacity, capability and resources to deliver the project (20 points).**

You must demonstrate this by describing:

- a. your history or experience of delivering early intervention projects to youth at high risk in your community **(5 points)**
- b. your plan to manage the project and key risks. Include detail on the key personnel who will manage the delivery of the project **(10 points)**
- c. how you will measure the success of the project **(5 points).**

## 7. How to apply

**The grant opportunity remains closed and no new applications for funding will be accepted.**

Before applying you should read and understand these guidelines, the sample [grant agreement](#) published on business.gov.au and GrantConnect. Applicants should read all eligibility and assessment criteria closely and attach detailed evidence that supports the assessment criteria.

You can only submit an application during a funding round.

To apply, you must:

- complete the online [application form](#) via business.gov.au
- provide all the information requested
- address all eligibility and assessment criteria
- include all necessary attachments.

You should retain a copy of your application for your own records.

You are responsible for making sure your application is complete and accurate. Giving false or misleading information is a serious offence under the *Criminal Code Act 1995* (Cth). If we consider that you have provided false or misleading information we may not progress your application. If you find an error in your application after submitting it, you should call us immediately on 13 28 46.

If we find an error or information that is missing, we may ask for clarification or additional information from you that will not change the nature of your application. However, we can refuse to accept any additional information from you that would change your submission after the application closing time.

Applicants should look at all key documents published on the business.gov.au website to consider how best to complete the application process.

If you need further guidance around the application process, or if you are unable to submit an application online, [contact us](#) at business.gov.au or by calling 13 28 46.

### 7.1. Attachments to the application

You must provide the following documents with your application:

- a letter that confirms you are active in your community of focus, on the template provided, from one of the following:
  - local police
  - local educational institution(s)
  - state or territory agency responsible for youth justice crime and/or law enforcement
  - state or territory agency that provides support to families and children.
- a letter from your board or committee (or CEO if there is no board or committee) that confirms that your organisation delivers or has delivered programs that focus on youth at high risk of engaging in crime or anti-social behaviour.

You should also provide the following supporting documents with the application:

- evidence to support your claims under assessment criterion one that youth crime and/or anti-social behaviour is an issue in the communities targeted by your project.
- signed and dated trust deed identifying the trustee and the not for profit nature of the trust (if relevant)

You must attach supporting documentation to the application form in line with the instructions provided within the form. You should only attach requested documents. We will not consider information in attachments that we do not request.

### 7.2. Joint applications

We recognise that some organisations may want to join together as a group to deliver a project. In these circumstances, you must appoint a lead organisation. Only the lead organisation can submit the application form and enter into the grant agreement with the Commonwealth. The application should identify all other members of the proposed group and include a letter of support from each of the project partners. Each letter of support should include:

- details of the project partner
- an overview of how the project partner will work with the lead organisation and any other project partners in the group to successfully complete the project
- an outline of the relevant experience and/or expertise the project partner will bring to the group
- the roles/responsibilities the project partner will undertake, and the resources it will contribute (if any)
- details of a nominated management level contact officer.

You must have a formal arrangement in place with all parties prior to execution of the grant agreement.

### 7.3. Timing of grant opportunity

You can only submit an application between the published opening and closing dates. We cannot accept late applications.

The program delegate may approve extensions of time provided you complete your project by 30 April 2024.

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Assessment of applications	8 weeks
Approval of outcomes of selection process	4 weeks
Negotiations and award of grant agreements	4 weeks
Notification to unsuccessful applicants	2 weeks
Earliest start date of project	The date we notify you that your application is successful
End date of grant commitment	30 April 2024 (to take into account the available funding you may be required to complete your project at an earlier date)

## 8. The grant selection process

We first review your application against the eligibility criteria. If eligible, we will then assess it against the assessment criteria. Only eligible applications will proceed to the assessment stage.

We consider your application on its merits, based on:

- how well it meets the criteria
- how it compares to other applications
- whether it provides value with relevant money.

When assessing whether the application represents value with relevant money, we will have regard to:

- the overall objectives of the grant opportunity
- the evidence provided to demonstrate how your project contributes to meeting those objectives
- the relative value of the grant sought.

If the selection process identifies unintentional errors in your application, we may contact you to correct or clarify the errors, but you cannot make any material alteration or addition.

### 8.1. Who will approve grants?

The relevant decision maker for the Safer Communities Fund Round Six (Early Intervention) grant opportunity is the Assistant Minister for Customs, Community Safety and Multicultural Affairs (the Minister).

The Minister decides which grants to approve taking into account the application assessment and the availability of grant funds.

The Minister's decision is final in all matters, including:

- the grant approval
- the grant funding to be awarded
- any conditions attached to the offer of grant funding.

We cannot review decisions about the merits of your application.

The Minister will not approve funding if there is insufficient program funds available across relevant financial years for the program. If you are successful, and there is insufficient funding to accommodate your project in the relevant funding year for the program, you may be asked to agree to pre-agreement conditions to align your project with the available program funds in the relevant financial year for the program.

The program funds available across financial years for the grant opportunity are as follows:

2021-2022	2022-2023	2023-2024
32.6 million	69.6 million	17.3 million

## 9. Notification of application outcomes

We will advise you of the outcome of your application in writing. If you are successful, we advise you of any specific conditions attached to the grant.

If you are unsuccessful, we will give you an opportunity to discuss the outcome with us. You can submit a new application for the same (or similar) project in any future funding rounds. You should include new or more information to address the weaknesses that prevented your previous application from being successful. If a new application is substantially the same as a previous ineligible or unsuccessful application, we may refuse to consider it for assessment.

## 10. Successful grant applications

### 10.1. Grant agreement

You must enter into a legally binding grant agreement with the Commonwealth. The grant agreement has general terms and conditions that cannot be changed. A sample [grant agreement](#) is available on [business.gov.au](http://business.gov.au) and GrantConnect.

We must execute a grant agreement with you before we can make any payments. Execute means both you and the Commonwealth have signed the agreement. We are not responsible for any expenditure you incur until a grant agreement is executed.

The approval of your grant may have specific conditions determined by the assessment process or other considerations made by the Minister. We will identify these in the offer of grant funding.

If you enter an agreement under the Safer Communities Fund, you cannot receive other grants for this project for the same activities from other Commonwealth, State or Territory granting programs.

The Commonwealth may recover grant funds if there is a breach of the grant agreement.

We will use a Commonwealth simple grant agreement for all projects.

You will have 30 days from the date of a written offer to execute this grant agreement with the Commonwealth. During this time, we will work with you to finalise details.

The offer may lapse if both parties do not sign the grant agreement within this time. Under certain circumstances, we may extend this period. We base the approval of your grant on the information you provide in your application. We will review any required changes to these details to ensure they do not impact the project as approved by the Minister.

### 10.2. Project/Activity specific legislation, policies and industry standards

You must comply with all relevant laws and regulations in undertaking your project. You must also comply with the specific legislation/policies/industry standards that follow. It is a condition of the

grant funding that you meet these requirements. We will include these requirements in your grant agreement.

### 10.2.1 Child safety requirements

You must comply with all relevant legislation relating to the employment or engagement of anyone working on the project that may interact with children, including all necessary working with children checks.

You must implement the [National Principles for Child Safe Organisations](#)<sup>2</sup> endorsed by the Commonwealth.

You will need to complete a risk assessment to identify the level of responsibility for children and the level of risk of harm or abuse, and put appropriate strategies in place to manage those risks. You must update this risk assessment at least annually.

You will also need to establish a training and compliance regime to ensure personnel are aware of, and comply with, the risk assessment requirements, relevant legislation including mandatory reporting requirements and the National Principles for Child Safe Organisations.

You will be required to provide an annual statement of compliance with these requirements in relation to working with children.

### 10.3. How we pay the grant

The grant agreement will state the maximum grant amount we will pay.

We will make payments according to an agreed schedule set out in the grant agreement. Payments are subject to satisfactory progress on the project.

### 10.4. Tax obligations

If you are registered for the Goods and Services Tax (GST), where applicable we will add GST to your grant payment and provide you with a recipient created tax invoice. You are required to notify us if your GST registration status changes during the project period. GST does not apply to grant payments to government related entities<sup>3</sup>.

Grants are assessable income for taxation purposes, unless exempted by a taxation law. We recommend you seek independent professional advice on your taxation obligations or seek assistance from the [Australian Taxation Office](#). We do not provide advice on tax.

## 11. Announcement of grants

We will publish non-sensitive details of successful projects on GrantConnect. We are required to do this by the [Commonwealth Grants Rules and Guidelines](#) unless otherwise prohibited by law. We may also publish this information on business.gov.au. This information may include:

- name of your organisation
- title of the project
- description of the project and its aims
- amount of grant funding awarded

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<sup>2</sup> <https://childsafefhumanrights.gov.au/national-principles>

<sup>3</sup> See Australian Taxation Office ruling GSTR 2012/2 available at ato.gov.au

- Australian Business Number
- business location
- your organisation's industry sector.

## 12. How we monitor your grant activity

### 12.1. Keeping us informed

You should let us know if anything is likely to affect your project or organisation.

We need to know of any key changes to your organisation or its business activities, particularly if they affect your ability to complete your project, carry on business and pay debts due.

You must also inform us of any changes to your:

- name
- addresses
- nominated contact details
- bank account details.

If you become aware of a breach of terms and conditions under the grant agreement you must contact us immediately.

You must notify us of events relating to your project and provide an opportunity for the Minister or their representative to attend.

### 12.2. Reporting

You must submit reports in line with the grant agreement. We will provide the requirements for these reports as appendices in the grant agreement. We will remind you of your reporting obligations before a report is due. We will expect you to report on:

- progress against agreed project milestones
- project expenditure, including expenditure of grant funds
- impact of your project against the objectives you identified.

The amount of detail you provide in your reports should be relative to the project size, complexity and grant amount.

We will monitor the progress of your project by assessing reports you submit and may conduct site visits to confirm details of your reports if necessary. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

#### 12.2.1 Progress reports

Progress reports must:

- include details of your progress towards completion of agreed project activities
- show the total eligible expenditure incurred to date
- include evidence of expenditure
- be submitted by the report due date (you can submit reports ahead of time if you have completed relevant project activities).

We will only make grant payments when we receive satisfactory progress reports.



You must discuss any project or milestone reporting delays with us as soon as you become aware of them.

### 12.2.2 End of project report

When you complete the project, you must submit an end of project report.

End of project reports must:

- include the agreed evidence as specified in the grant agreement
- identify the total eligible expenditure incurred for the project
- include a declaration that the grant money was spent in accordance with the grant agreement and to report on any underspends of the grant money
- provide an assessment of the impact of your project compared with your objectives
- be submitted by the report due date.

### 12.2.3 Ad-hoc reports

We may ask you for ad-hoc reports on your project. This may be to provide an update on progress, or any significant delays or difficulties in completing the project.

## 12.3. Independent audits

We may ask you to provide an independent audit report. An audit report will verify that you spent the grant in accordance with the grant agreement. The audit report requires you to prepare a statement of grant income and expenditure. The report template is available on [business.gov.au](http://business.gov.au) and GrantConnect.

## 12.4. Compliance visits

We may visit you during the project period, or at the completion of your project to review your compliance with the grant agreement. We may also inspect the records you are required to keep under the grant agreement. We will provide you with reasonable notice of any compliance visit.

## 12.5. Grant agreement variations

We recognise that unexpected events may affect project progress. In these circumstances, you can request a variation to your grant agreement, including:

- changing project milestones
- extending the timeframe for completing the project.

The program does not allow for:

- an increase of grant funds.

If you want to propose changes to the grant agreement, you must put them in writing before the project end date. We can provide you with a variation request template.

If a delay in the project causes milestone achievement and payment dates to move to a different financial year, you will need a variation to the grant agreement. We can only move funds between financial years if there is enough program funding in the relevant year to allow for the revised payment schedule. If we cannot move the funds, you may lose some grant funding.

You should not assume that a variation request will be successful. We will consider your request based on factors such as:

- how it affects the project outcome

- consistency with the program policy objective, grant opportunity guidelines and any relevant policies of the department
- changes to the timing of grant payments
- availability of program funds.

## 12.6. Evaluation

We will evaluate the grant opportunity to measure how well the outcomes and objectives have been achieved. We may use information from your application and project reports for this purpose. We may also interview you, or ask you for more information to help us understand how the grant impacted you and to evaluate how effective the program was in achieving its outcomes.

We may contact you up to two years after you finish your project for more information to assist with this evaluation.

## 12.7. Grant acknowledgement

If you make a public statement about a project funded under the program, including in a brochure or publication, you must acknowledge the grant by using the following:

‘This project received grant funding from the Australian Government.’

## 13. Probity

We will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRGs.

### 13.1. Conflicts of interest

Any conflicts of interest could affect the performance of the grant opportunity or program. There may be a conflict of interest, or perceived conflict of interest, if our staff, or our Commonwealth policy entity staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government officer
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently or
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the grant program/ grant opportunity.

As part of your application, we will ask you to declare any perceived or existing conflicts of interests or confirm that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform us in writing immediately.

Conflicts of interest for Australian Government staff are handled as set out in the Australian [Public Service Code of Conduct \(Section 13\(7\)\)<sup>4</sup>](#) of the *Public Service Act 1999* (Cth). Committee

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<sup>4</sup> <https://www.legislation.gov.au/Details/C2019C00057>

members and other officials including the decision maker must also declare any conflicts of interest.

We publish our [conflict of interest policy](#)<sup>5</sup> on the department's website. The Commonwealth policy entity also publishes a conflict of interest policy on its website.

## 13.2. How we use your information

Unless the information you provide to us is:

- confidential information as per 13.2.1, or
- personal information as per 13.2.3,

we may share the information with other government agencies for a relevant Commonwealth purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of grants.

### 13.2.1 How we handle your confidential information

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- the information is commercially sensitive
- disclosing the information would cause unreasonable harm to you or someone else
- you provide the information with an understanding that it will stay confidential.

### 13.2.2 When we may disclose confidential information

We may disclose confidential information:

- to our Commonwealth employees and contractors, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the responsible Minister
- to a House or a Committee of the Australian Parliament.

We may also disclose confidential information if

- we are required or authorised by law to disclose it
- you agree to the information being disclosed, or
- someone other than us has made the confidential information public.

### 13.2.3 How we use your personal information

We must treat your personal information according to the Australian Privacy Principles (APPs) and the *Privacy Act 1988* (Cth). This includes letting you know:

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<sup>5</sup> [https://www.industry.gov.au/sites/default/files/July%202018/document/pdf/conflict-of-interest-and-insider-trading-policy.pdf?acsf\\_files\\_redirect](https://www.industry.gov.au/sites/default/files/July%202018/document/pdf/conflict-of-interest-and-insider-trading-policy.pdf?acsf_files_redirect)

- what personal information we collect
- why we collect your personal information
- to whom we give your personal information.

We may give the personal information we collect from you to our employees and contractors, and other Commonwealth employees and contractors, so we can:

- manage the program
- research, assess, monitor and analyse our programs and activities.

We, or the Minister, may:

- announce the names of successful applicants to the public
- publish personal information on the department's websites.

You may read our [Privacy Policy](#)<sup>6</sup> on the department's website for more information on:

- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

#### 13.2.4 Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the *Freedom of Information Act 1982* (Cth) (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

### 13.3. Enquiries and feedback

For further information or clarification, you can contact us on 13 28 46 or by [web chat](#) or through our [online enquiry form](#) on business.gov.au.

We may publish answers to your questions on our website as Frequently Asked Questions.

Our [Customer Service Charter](#) is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

If you have a complaint, call us on 13 28 46. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

Chief Finance Officer

Department of Industry, Science, Energy and Resources

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<sup>6</sup> <https://www.industry.gov.au/data-and-publications/privacy-policy>

You can also contact the [Commonwealth Ombudsman<sup>7</sup>](#) with your complaint (call 1300 362 072). There is no fee for making a complaint, and the Ombudsman may conduct an independent investigation.

## 14. Glossary

Term	Definition
Application form	The document issued by the Program Delegate that applicants use to apply for funding under the program.
Department	The Department of Industry, Science, Energy and Resources.
Educational Institution	Entities that provide instructional services to individuals or education related services including schools, colleges or universities.
Eligible activities	The activities undertaken by a grantee in relation to a project that are eligible for funding support as set out in 5.1.
Eligible application	An application or proposal for grant funding under the program that the Program Delegate has determined is eligible for assessment in accordance with these guidelines.
Eligible expenditure	The expenditure incurred by a grantee on a project and which is eligible for funding support as set out in 5.2.
Eligible expenditure guidance	The guidance that is provided at Appendix A.
Grant agreement	A legally binding contract between the Commonwealth and a grantee for the grant funding.
Grant funding or grant funds	The funding made available by the Commonwealth to grantees under the program.
<a href="#">GrantConnect</a>	The Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRGs.
Grantee	The recipient of grant funding under a grant agreement.
Guidelines	Guidelines that the Minister gives to the department to provide the framework for the administration of the program, as in force from time to time.
Local government agency or body	A local governing body as defined in the <i>Local Government (Financial Assistance) Act 1995</i> (Cth) and the ACT Government.

<sup>7</sup> <http://www.ombudsman.gov.au/>

Term	Definition
Minister	Assistant Minister for Customs, Community Safety and Multicultural Affairs within the Australian Government Home Affairs Portfolio.
Outlaw Motorcycle Gangs	A serious and organised crime group subject to a state or territory legislative arrangement developed to target consorting for criminal activities, and/or to outlaw serious and organised crime groups, and membership of and association with those groups.
Personal information	<p>Has the same meaning as in the <i>Privacy Act 1988</i> (Cth) which is:</p> <p>Information or an opinion about an identified individual, or an individual who is reasonably identifiable:</p> <ul style="list-style-type: none"> <li>a. whether the information or opinion is true or not; and</li> <li>b. whether the information or opinion is recorded in a material form or not.</li> </ul>
Program Delegate	A Department of Industry, Science, Energy and Resource manager with responsibility for the program.
Program funding or Program funds	The funding made available by the Commonwealth for the program.
Project	A project described in an application for grant funding under the program.
Youth at high risk	Youth aged between 12-24 who are engaged in, or are at high risk of being engaged in, criminal and/or anti-social behaviour. This could include youth who are at high risk of being engaged in crime groups such as Outlaw Motorcycle Gangs or other anti-social and/or illegal gang related activity.

## Appendix A. Eligible expenditure

This section provides guidance on the eligibility of expenditure.

The Program Delegate makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

- be incurred by you within the project period
- be a direct cost of the project
- be incurred by you to undertake required project audit activities
- meet the eligible expenditure guidelines.

### A.1 How we verify eligible expenditure

If your application is successful, we will ask you to verify the project budget that you provided in your application when we negotiate your grant agreement. You may need to provide evidence such as quotes for major costs.

The grant agreement will include details of the evidence you may need to provide when you achieve certain milestones in your project. This may include evidence related to eligible expenditure.

If requested, you will need to provide the agreed evidence along with your progress reports.

You must keep payment records of all eligible expenditure, and be able to explain how the costs relate to the agreed project activities. At any time, we may ask you to provide records of the expenditure you have paid. If you do not provide these records when requested, the expense may not qualify as eligible expenditure.

At the end of the project, you may be required to provide an independent financial audit of all eligible expenditure from the project.

### Eligible expenditure items

Eligible expenditure items can include:

- labour costs as outlined below
- contractor costs as outlined below
- travel costs as outlined below
- participant costs as outlined below
- education and training materials primarily used for project activities
- rental costs for buildings or facilities primarily used for project activities (this does not include your own premises)
- costs of running workshops, camps and events
- IT and sports equipment primarily used for project activities
- lease of motor vehicles to assist the carrying out of the project activities
- work experience costs including clothing and equipment
- other specific expenditure items may be eligible as determined by the Program Delegate.

## A.2 Labour expenditure

Eligible labour expenditure for the grant covers the direct labour costs of employees you directly employ on the core elements of the project. We consider a person an employee when you pay them a regular salary or wage, out of which you make regular tax instalment deductions.

We consider costs for technical, but not administrative, project management activities eligible labour expenditure. However, we limit these costs to 10 per cent of the total amount of eligible labour expenditure claimed.

We do not consider labour expenditure for leadership or administrative staff (such as CEOs, CFOs, accountants and lawyers) as eligible expenditure, even if they are doing project management tasks.

Eligible salary expenditure includes an employee's total remuneration package as stated on their Pay As You Go (PAYG) Annual Payment Summary submitted to the ATO. We consider salary-sacrificed superannuation contributions as part of an employee's salary package if the amount is more than what the Superannuation Guarantee requires.

The maximum salary for an employee, director or shareholder, including packaged components that you can claim through the grant is \$175,000 per financial year.

For periods of the project that do not make a full financial year, you must reduce the maximum salary amount you claim proportionally.

You can only claim eligible salary costs when an employee is working directly on agreed project activities during the agreed project period.

## A.3 Labour on-costs and administrative overhead

You may increase eligible salary costs by an additional 30% allowance to cover on-costs such as employer paid superannuation, payroll tax, workers compensation insurance, and overheads such as office rent and the provision of computers.

You should calculate eligible salary costs using the formula below:

$$\text{Eligible salary costs} = \text{Annual salary package} \times \frac{\text{Weeks spent on project}}{52 \text{ weeks}} \times \text{percentage of time spent on project}$$

You cannot calculate labour costs by estimating the employee's worth. If you have not exchanged money (either by cash or bank transactions) we will not consider the cost eligible.

Evidence you will need to provide can include:

- details of all personnel working on the project, including name, title, function, time spent on the project and salary
- ATO payment summaries, pay slips and employment contracts.

## A.4 Contract expenditure

Eligible contract expenditure is the cost of any agreed project activities that you contract others to do. These can include contracting:

- another organisation
- an individual who is not an employee, but engaged under a separate contract.



All contractors must have a written contract prior to starting any project work—for example, a formal agreement, letter or purchase order which specifies:

- the nature of the work they perform
- the applicable fees, charges and other costs payable.

Invoices from contractors must contain:

- a detailed description of the nature of the work
- the hours and hourly rates involved
- any specific plant expenses paid.

Invoices must directly relate to the agreed project, and the work must qualify as an eligible expense. The costs must also be reasonable and appropriate for the activities performed.

We will require evidence of contractor expenditure that may include:

- an exchange of letters (including email) setting out the terms and conditions of the proposed contract work
- purchase orders
- supply agreements
- invoices and payment documents.

You must ensure all project contractors keep a record of the costs of their work on the project. We may require you to provide a contractor's records of their costs of doing project work. If you cannot provide these records, the relevant contract expense may not qualify as eligible expenditure.

## A.5 Travel expenditure

Eligible travel expenditure may include

- domestic travel limited to the reasonable cost of accommodation and transportation required to conduct agreed project and collaboration activities in Australia.

Eligible air transportation is limited to the economy class fare for each sector travelled; where non-economy class air transport is used only the equivalent of an economy fare for that sector is eligible expenditure. Where non-economy class air transport is used, the grantee will require evidence showing what an economy airfare costs at the time of travel.

Overseas travel costs are not eligible expenditure.

## A.6 Participant expenditure

Eligible participant expenditure is the cost of subsidising, in part or full, expenses of youths participating in project activities. Eligible participant expenditure may include:

- reasonable travel expenses including accommodation, meals, ground transport and airfares for youths and chaperons where needed who are participating in project activities
- registration costs for events if applicable.

## A.7 Other eligible expenditure

Other eligible expenditures for the project may include:

- financial auditing of project expenditure to a maximum of 1% of total grant
- staff training that directly supports the achievement of project outcomes

Other specific expenditures may be eligible as determined by the Program Delegate.

Evidence you need to supply can include supplier contracts, purchase orders, invoices and supplier confirmation of payments.

## Appendix B. Ineligible expenditure

This section provides guidance on what we consider ineligible expenditure.

The Program Delegate may impose limitations or exclude expenditure, or further include some ineligible expenditure listed in these guidelines in a grant agreement or otherwise by notice to you.

Examples of ineligible expenditure include:

- activities, equipment or supplies that are already being supported through other sources
- costs incurred prior to us notifying you that the application is successful
- any in-kind contributions
- financing costs, including interest
- capital expenditure for the purchase of assets such as office furniture and equipment, motor vehicles, computers, printers or photocopiers
- construction, renovation or extension of buildings
- large-scale capital equipment and capital works
- costs of purchasing, leasing, depreciation of, or development of land
- costs involved in the purchase or upgrade/hire of software (including user licences) and ICT hardware (unless it directly relates to the project)
- costs such as rental, renovations and utilities
- non-project-related staff training and development costs
- insurance costs (the participants must effect and maintain adequate insurance or similar coverage for any liability arising as a result of its participation in funded activities)
- debt financing
- costs related to obtaining resources used on the project, including interest on loans, job advertising and recruiting, and contract negotiations
- maintenance costs
- routine operational expenses, including communications, accommodation, office computing facilities, printing and stationery, postage, legal and accounting fees and bank charges
- costs related to preparing the grant application, preparing any project reports (except costs of independent audit reports we require) and preparing any project variation requests
- overseas travel costs
- fund raising or sponsorship costs
- contingency costs.

This list is not exhaustive and applies only to the expenditure of the grant funds. Other costs may be ineligible where we decide that they do not directly support the achievement of the planned outcomes for the project or that they are contrary to the objective of the program.

You must ensure you have adequate funds to meet the costs of any ineligible expenditure associated with the project.