

Australian Government

Department of Industry, Science, Energy and Resources Department of Health





# Sample application form

## Medical Research Future Fund

# Medical Research Future Fund – Commercialisation Initiative

# 2020 Early Stage Translation and Commercialisation Grant Opportunity

Version December 2020

This document shows the questions included in the online application form for this grant opportunity. It will help you prepare your responses and the mandatory attachments you need before you apply online.

This is a sample only and may be subject to minor changes.

Do not use this document as your application form. You will need to submit an application using the online form once the grant opportunity is open to applications

## Instructions

The online form captures the information required by the department to assess and manage your application for services and funding.

The first page of the application page contains the following instructions.

### Completing your application

The application consists of separate pages as shown in the navigation menu on the left hand side of the portal page. You can navigate between pages using the menu or the buttons at the bottom of each page.

You must use the Save and Continue button to validate the information on each page. If you use the menu to navigate between pages, you will be prompted on the final page to go back and validate all of the information you have entered. A green tick indicates a validated page.

A red asterisk \* indicates a mandatory question. A warning message may appear if you have not completed all of the mandatory questions or if there is an issue with information you have entered.

You can save your changes at any time by using the Save button. To prevent you losing your work you should save often. The portal will time out after 30 minutes if you do not save. Typing or moving your mouse does not reset the time out.

### **Participants**

You may invite others to assist in completing your application via the application summary page. To do this:

- Select the Participants button
- Enter the details

An email will be sent to the participant inviting them to assist with your application.

#### Submitting your application

You must complete every page of the application before you can submit.

You must also read and agree to the declaration which advises you of your responsibilities.

Check all your answers before you submit your application. After you submit, it will no longer be editable.

#### Internet browsers supported by the portal

We recommend that you use the following browsers for optimum functionality:

- On Windows: The latest versions of Mozilla Firefox and Google Chrome
- On Mac: The latest versions of Safari and Google Chrome

#### **Getting help**

If you require further assistance completing this form, <u>contact us</u> by email or web chat or on 13 28 46.

## A. Program selection

We need to first identify what type of entity is applying.

Some programs we offer allow entities without an ABN to apply. The form is designed to accommodate these entities. However for this grant opportunity, an ABN is mandatory, If you do not have an ABN this grant opportunity will not appear in the program selection at the bottom of the first page and you will not be able to continue.

If you are a trustee applying on behalf of a trust we will need details of both the trust and trustee.

Before you start you should have the following details ready if they are applicable to you.

Australian Business Number (ABN)

If you do not have an ABN we will ask you why you do not have one. You should note the following.

If you are entitled to an ABN and do not provide it to us, we will be required to withhold 47 per cent from any grant awarded. If you intend to apply for an ABN you should do so before starting your application as you cannot update these details in the application form. If you apply for an ABN after you start your application you will need to start again.

### A.1. Program selection

You must select from a drop-down menu the program that you are applying for.

- Field 1 select MRFF Early Stage Translation and Commercialisation
- Field 2 select MRFF Early Stage Translation and Commercialisation

When you have selected the program, the following text will appear.

The objective of this grant opportunity is to provide grants of financial assistance to support early stage Australian medical research and medical innovation projects in the following four streams:

- Stream 1: preclinical medical research or medical innovation projects with commercial potential
- Stream 2: early clinical development of novel drugs, or novel uses for existing drugs, with commercial potential
- Stream 3: early clinical development of medical devices with commercial potential
- Stream 4: early stage development of digital health technologies with commercial potential.

Each will be a separate funding stream. The top ranked project in each Stream will be funded.

You should read the <u>grant opportunity guidelines</u> and <u>sample grant agreement</u> before filling out this application.

You may submit your application at any time up until 5.00pm AEDT 1 April 2021.

## B. Eligibility

We will ask you the following questions to establish your eligibility for the MRFF 2020 Early Stage Translation and Commercialisation Support Grant Opportunity.

Questions marked with an asterisk are mandatory.

Is your organisation incorporated in Australia? \*

Is your organisation one of the following bodies? \*

- a medical research institute
- a university
- a corporate Commonwealth entity
- a corporation (including businesses and not for profits)

## C. Applicant address

#### C.1. Applicant street address

You must provide your street address

When you start typing the address in the field you can select the correct one from the drop down list that appears. If it is not there you can enter manually.

### C.2. Applicant postal address

You must provide your postal address

When you start typing the address in the field you can select the correct one from the drop down list that appears. If it is not there you can enter manually.

## **D.** Applicant financials

### D.1. Latest Financial Year Figures

- Has the applicant existed for a complete financial year?
- If no, enter the number of months completed in the financial year to date.

## D.2. Recent trading performance

You must provide the following financial information about the applicant organisation.

We collect the following data from all applicants across all grant programs. We use this data to better understand your organisation and to help us develop better policies and programs.

All amounts must show a whole dollar value e.g. \$1 million should be presented as \$1,000,000. The turnover value must be that of the entity that is making the grant application (the 'applicant'), regardless of whether the entity belongs to a consolidated group for tax purposes.

These fields are mandatory and entering \$0 is acceptable if applicable for your organisation.

#### Sales Revenue (Turnover)

Total revenue from the sale of goods and services, as reported in your organisation's Business Activity Statements (BAS).

Export revenue

Total revenue from export sales, as reported in your organisation's BAS.

R&D expenditure

Expenditure on research and development, i.e. creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man, culture and society, and the use of this stock of knowledge to devise new applications.

#### Taxable income

Taxable income or loss as per the applicant's Business Income Company Tax Return form.

Number of employees (headcount)

Number of individuals who are entitled to paid leave (sick and holiday), or generate income from managing the business. This should include working proprietors and salaried directors.

Number of independent contractors (headcount)

Number of individuals engaged by the business under a commercial contract (rather than an employment contract) to provide employee-like services on site.

## E. Project information

On this page you must provide the detailed information about your proposed project.

If your application is successful, we will publish some grant details on <u>GrantConnect</u>. Published details include:

- name of the grant recipient
- a project title
- a brief project description and its intended outcome
- amount of grant funding awarded.

#### E.1. Project title and description

Provide a project title.

Your response is limited to 75 characters including spaces and does not support formatting. This data will be published if your application is successful.

#### Provide a brief project description.

Your response is limited to 750 characters including spaces and does not support formatting. This data will be published if your application is successful.

Ensure your project description focuses on your project's key activities and outcomes. Explain what it is you are going to do and how it will benefit your organisation.

#### E.2. Detailed project description and key activities

This information will be included in your grant agreement if your application is successful.

Provide a detailed description of your project including the project scope and key activities.

Your response is limited to 5000 characters including spaces and does not support formatting.

#### E.3. Project outcomes

This information will be included in your grant agreement if your application is successful.

Provide a summary of the expected project outcomes.

Your response is limited to 5000 characters including spaces and does not support formatting.

#### E.4. Project duration

- Estimated project start date
- Estimated project end date

The project length will be calculated by the start and end dates you enter. You must complete your project by 31 July 2025. Project start date not before June 2021

#### E.5. Grant Stream

You must select one of the following streams:

You can only submit an application to one of four funding streams for this grant opportunity. You must specify below which stream you are applying for:

Stream 1: preclinical medical research or medical innovation projects with commercial potential

**Stream 2:** early clinical development of novel drugs, or novel uses for existing drugs, with commercial potential

Stream 3: early clinical development of medical devices with commercial potential

Stream 4: early stage development of digital health technologies with commercial potential.

#### E.6. Project Managers

Who is your Lead Project Manager A, who will take the lead role in conducting the project and report on the outcomes of the project as specified in the grant agreement? \*

You will need to include the following details:

- Title
- Given Name
- Family Name
- Position Title
- Organisation
- Email Address
- Phone Number
- Mobile Number

#### E.7. Project milestones

You must breakdown your project into milestones. You should include the key activities occurring at each milestone. The start date of milestone 1 is the expected project start date. The end date of your last milestone activity will be the project end date. You will be required to complete the following fields. You can add up to 15 milestones.

Milestone title

Your response is limited to 100 characters including spaces and does not support formatting.

Description

Your response is limited to 750 characters including spaces and does not support formatting.

- Estimated start date
- Estimated end date

#### E.8. Project location

You must provide the address where your project will be undertaken and the estimated percentage of project value expected to be undertaken at that site. If you have multiple sites you must add the address of each site.

A project site must be a street address not a postal address.

- Project site address
- Estimated percentage of project value expected to be undertaken at site

The first site listed must be the primary site address irrespective of the percentage of time work is undertaken at that site.

## F. Project budget

### F.1. Project budget summary

You must provide a summary of your eligible project costs over the life of the project in a table as shown below.

If you are registered for GST, enter the GST exclusive amount. If you are not registered for GST, enter the GST inclusive amount. We only provide grant funding based on eligible expenditure. Refer to the guidelines for guidance on eligible expenditure.

Essential equipment costs are limited to \$80,000 (minimum \$10,000).

Type of expenditure	Head of expenditure	Financial Year	Cost
Project expenditure			\$
	Equipment		\$
		2021/22	\$
		2022/23	\$
		2023/24	\$
		2024/25	
	Labour		\$
		2021/22	\$
		2022/23	\$
		2023/24	\$
		2024/25	
	Labour on-costs		\$
		2021/22	\$
		2022/23	\$
		2023/24	\$
		2024/25	
	Contractors		\$
		2021/22	\$
		2022/23	\$
		2023/24	\$

Official

Type of expenditure	Head of expenditure	Financial Year	Cost
	Travel and Overseas		\$
		2021/22	\$
		2022/23	\$
		2023/24	\$
		2024/25	
	Other eligible expenditure		\$
		2021/22	\$
		2022/23	\$
		2023/24	\$
		2024/25	
Total			

#### Grant funding requested

You will be asked to enter the amount of grant funding you are requesting (must be \$19.75 million).

#### F.2. Source of funding

In this section you must provide details of how you will fund the balance (if any) of the eligible costs, and any ineligible project costs.

The total of all sources of funding plus your grant, should be equal to your total project cost (ie (eligible expenditure above plus any ineligible expenditure).

Your own contribution to the project is also considered a 'source of funding' and must be provided.

You will need to provide the following information for all other sources of funding

- Name of contributor
- Type of contributor

Contributors are divided into the following types

- Your contribution
- o Other Commonwealth government grants
- o Other non-Commonwealth government grants
- o Other non-government contribution
- Value of contribution
- Date due of contribution
- Description

You may need to provide details around whether your contribution is sourced from bank loans, equity or cash flow etc.

Where you are receiving other government funding you will need to provide details.

## G. Project partners

You must provide details about your project partners.

For details about project partner requirements refer to the grant opportunity guidelines.

You must appoint a lead organisation. Only the lead organisation can submit the application form and enter into the grant agreement with the Commonwealth. The application should identify all other members of the proposed group and include a letter of support from each of the project partners.

Each letter of support should include:

- details of the project partner
- an overview of how the project partner will work with the lead organisation and any other project partners in the group to successfully complete the project
- an outline of the relevant experience and/or expertise the project partner will bring to the group
- the roles/responsibilities the project partner will undertake, and the resources it will contribute (if any)
- details of a nominated management level contact officer.

## H. Assessment criteria

We will assess your application based on the weighting given to each criterion and against the indicators listed beneath each criterion. We will only consider funding applications that score satisfactorily against each criterion as these represent best value for money.

The amount of detail and supporting evidence you provide should be commensurate with the project size, complexity and grant amount requested. You should define, quantify and provide evidence to support your answers.

To support your responses you must include mandatory attachments later in the application.

Your response is limited to 5000 characters for each criterion including spaces and does not support formatting.

### H.1. Assessment criterion 1 (40 points)

#### **Project impact**

#### Assessment criteria as per the guidelines

Your response is limited to 5000 characters for each criterion including spaces and does not support formatting.

You should demonstrate this by identifying

- a. how your proposed activities will increase the number of Australian medical research and medical innovation projects progressing through the early stages of research and development to the point where they are 'de-risked' and attractive to private investment for commercialisation, reflected by measurable project targets
- how the proposed activities will enhance the capacity and capability of Australia's health and medical research sector to translate and commercialise health and medical research outputs
- c. the extent to which your proposed activities will support delivery of commercialised health and medical research outputs, leading to the improved health and wellbeing of the Australian public
- d. how the research will provide outcomes within 12 months of the grant period ending
- e. the extent to which your proposed activities include new and innovative approaches
- f. the extent to which your proposed activities build on and support other related initiatives.

You should demonstrate how the outcomes or results you have identified against the MRFF Measures of Success are relevant and meaningful to the goal and aims of the Initiative.

#### H.2. Assessment criterion 2 (30 points)

#### Project methodology

You should demonstrate your proposed approach to delivering the project by providing:

- a. your project plan, including:
  - an outline of the activities you will undertake
  - appropriate milestones, performance indicators and timeframes for delivery
  - the governance structures in place for the project

b. a project feasibility analysis.

## H.3. Assessment criterion 3 (30 points)

#### Capacity, capability and resources to deliver the project

Your response is limited to 5000 characters for each criterion including spaces and does not support formatting.

You should demonstrate this by providing details of:

- a. your access to, and/or a feasible plan to recruit, an appropriate, multi-disciplinary team with a track record overseeing translationally focused research, commercialisation support experience and appropriate scientific expertise. This includes:
  - identifying proposed key project personnel, their skills and experience clearly articulated, along with explanations of how their skills and experiences are important to the success of your project
  - describing how you will identify, engage and seek input from expert reviewers and assessors as part of the selection of eligible Australian SMEs
- b. your track record in managing similar projects
  - consideration should be given to the project team's expertise in all aspects of the proposal, including evidence supporting the team's capability to select, manage and support successful Australian SMEs to progress their research.
- c. your access, or future access, to any required infrastructure, land, capital equipment, technology, and regulatory or other approvals.

## H.4. Assessment criterion 4 (non-weighted)

#### Overall value and risk of the project

#### Your response is limited to 5000 characters including spaces and does not support formatting.

Your application should demonstrate the overall value and risk of the project, including that you have robust risk identification and management processes. This should include consideration of risks related to COVID-19 restrictions.

You should provide:

- your Measures of Success statement
- your proposed budget and justification
- a risk management plan.

Our assessment will also take into consideration:

- the suitability of your proposed budget to complete all project activities
- how well the requested budget has been detailed and justified
- the proportion of your administration costs in your budget compared with the funds proposed for disbursement to Australian SMEs to support partnership projects
- the value of any financial and/or in-kind contributions from partners
- the relative contribution of the outcomes or results you have identified against the MRFF Measures of Success to the goal and aims of the Initiative
- how soundly your risk management approach is demonstrated
- any risks identified as part of the assessment of your application

#### Official

- the appropriateness of the submitted risk management plan in documenting key risks to the completion of the research proposal, including your plan to manage those identified risks, and
- how you propose to monitor and report risks (both those identified in your submitted risk management plan and those which may arise during your project).

## I. Application finalisation

You must answer the following questions and add any supporting documentation required.

## I.1. Conflict of interest

Do you have any perceived or existing conflicts of interest to declare?

Refer to the grant opportunity guidelines for further information on your conflict of interest responsibilities.

If yes, describe the perceived or existing conflicts of interest and how you anticipate managing them.

Your response is limited to 750 characters including spaces and does not support formatting.

## I.2. Program feedback

How did you hear about the grant opportunity?

You may select from a drop-down menu.

### I.3. Supporting documentation

You must attach the following supporting documentation.

Project plan

You must attach a project plan (maximum of 12 pages excluding appendices).

Project budget

A detailed and itemised project budget, including but not limited to disaggregation by project component and Financial Year (FY), and your related fee card

Contribution to MRFF Measures of Success

A statement of how your project will contribute to the Measures of Success for the MRFF as described in the MRFF Evaluation, Monitoring and Learning Strategy (see <a href="https://www.health.gov.ay/mrff">www.health.gov.ay/mrff</a>) in a table format with the following headings: MRFF Measure of Success; How the project will contribute towards the measure of success; Description of outcome or result against which the contribution will be evaluated.

Project Team

In a table format list your Project Manager A and all the project team who have shared authority and responsibility for leading and directing the design, conduct and reporting of the proposed project and include the affiliation of each person listed.

Include Title, Name, Position, Current Organisation, Email address and contact number

Risk management plan

A detailed risk management plan, and any supporting documentation, describing how you propose to monitor, manage and report identified risks including risks that may arise during your project

- Project feasibility analysis
- Evidence of support from your Board

You must provide evidence from your board (or chief executive officer or equivalent if there is no board) that your project is supported and that you can complete the project and meet the costs of the project not covered by grant funding.

Intellectual property (IP) arrangements (where applicable)

Details of IP arrangements as an attachment if this is not included within the written content of the application

- Letters of support (where applicable)
- Trust deed (where applicable)

Where you have indicated your entity type is a trustee applying on behalf of a trust, you must attach trust documents showing the relationship of the incorporated trustee to the trust.

#### I.4. Your ANZSIC code

Provide from a drop-down menu:

- your organisation's main revenue earning division under the Australian and New Zealand Standard Industrial Classification (ANZSIC).
- your organisation's main revenue earning class under the Australian and New Zealand Standard Industrial Classification (<u>ANZSIC</u>).

#### I.5. Indigenous organisation

Is your organisation Indigenous owned?

An organisation is considered Indigenous owned where at least 51% of the organisation's members or proprietors are Indigenous.

Is your organisation Indigenous controlled?

An organisation is considered Indigenous controlled where at least 51% of the organisation's board or management committee is Indigenous.

## J. Primary contact page

You must provide the details of a primary contact for your application. The details include

- Given name
- Family name
- Position title
- Email address
- Phone number
- Mobile number
- Primary address

## K. Application declaration

In order to submit your application you will be required to agree to the following declaration.

### K.1. Privacy and confidentiality provisions

I acknowledge that this is an Australian Government program and that the Department of Industry, Science, Energy and Resources (the department) will use the information I provide in accordance with the following:

- Australian Government Public Data Policy Statement
- <u>Commonwealth Grants Rules and Guidelines</u>
- grant opportunity guidelines
- applicable Australian laws

Accordingly, I understand that the department may share my personal information provided in this application within this department and other government agencies:

- a. for purposes directly related to administering the program, including governance, research and the distribution of funds to successful applicants
- b. to facilitate research, assessment, monitoring and analysis of other programs and activities

unless otherwise prohibited by law.

I understand that where I am successful in obtaining a grant, the financial information that I provide for the purposes of payment will be accessible to departmental staff to enable payments to be made through the department's accounts payable software system.

I understand that information that is deemed 'confidential' in accordance with the grant opportunity guidelines may also be shared for a relevant Commonwealth purpose.

The department will publish information on individual grants in the public domain, including on the department's website, unless otherwise prohibited by law.

## K.2. Applicant declaration

I declare that I have read and understood the [program/grant opportunity] guidelines, including the privacy, confidentiality and disclosure provisions.

I declare that the proposed project outlined in this application and any associated expenditure has been endorsed by the applicant's board/ management committee or person with authority to commit the applicant to this project.

I declare that the applicant will comply with, and require that its subcontractors and independent contractors comply with, all applicable laws.

I declare that the information contained in this application together with any statement provided is, to the best of my knowledge, accurate, complete and not misleading and that I understand that giving of false or misleading information is a serious offence under the *Criminal Code Act 1995* (Cth).

I acknowledge that I may be requested to provide further clarification or documentation to verify the information supplied in this form and that the department may, during the application process, consult with other government agencies, including state and territory government agencies, about the applicant's claims and may also engage external technical or financial advisors to advise on information provided in the application.

#### Official

I understand that the applicant is responsible for ensuring that it has met relevant state or territory legislation obligations related to working with children, and that any person that has direct, unsupervised contact with children as part of a project under this [program/grant opportunity], has undertaken and passed, a working with children check, if required under relevant state or territory legislation. The applicant is also responsible for assessing the suitability of people I engage in this project to ensure children are kept safe.

I acknowledge that if the department is satisfied that any statement made in an application is incorrect, incomplete, false or misleading the department may, at its absolute discretion, take appropriate action. I note such action may include excluding an application from further consideration; withdrawing an offer of funding; using the information contained in the application for a fraud investigation that would be consistent with the Australian Government's Investigations Standards and Commonwealth Fraud Control Framework and/or for a grant under management, terminating a grant agreement between the Commonwealth and the grantee including recovering funds already paid.

I agree to participate in the periodic evaluation of the services undertaken by the department.

I declare that I am authorised to complete this form and acknowledge that by including my name in this application I am deemed to have signed this application.

I approve the information in this application being communicated to the department in electronic form.