

Australian Government

Department of Industry, Science and Resources

Attorney-General's Department

Grant Opportunity Guidelines

Safer Communities Fund Round 4

Opening date:	Opportunity 1: 19 March 2019
Close date:	Opportunity 1: 4 April 2019
Commonwealth policy entity:	Attorney-General's Department
Administering entity	Department of Industry, Science and Resources
Enquiries:	If you have any questions, contact us on 13 28 46.
Date guidelines released:	26 July 2019
Date guidelines reissued	29 November 2019 and 25 May 2020
	13 June 2023 - extension of time
Type of grant opportunity:	Open non-competitive

Contents

1.	Safer	Communities Fund: Round 4 processes	4
2.	About	t the grant program	5
	2.1.	About the Safer Communities Fund: Round 4 grant opportunity	5
3.	Grant	amount and grant period	6
	3.1.	Grants available	6
	3.2.	Project period	6
4.	Eligib	ility criteria	6
	4.1.	Who is eligible?	6
	4.2.	Additional eligibility requirements	7
	4.3.	Who is not eligible?	7
	4.4.	What qualifications or skills are required?	7
5.	What	you can use the grant for	7
	5.1.	Eligible activities	7
	5.2.	Eligible expenditure	8
6.	The m	nerit criteria you need to address	8
	6.1.	Merit criterion 1	9
	6.2.	Merit criterion 2	9
	6.3.	Merit criterion 3	9
7.	How t	o apply	10
	7.1.	Attachments to the application	10
	7.2.	Timing of grant opportunity	10
8.	The s	election process	11
	8.1.	Who will approve grants?	11
9.	Notific	cation of application outcomes	12
10.	If you	r application is successful	12
	10.1.	Grant agreement	12
	10.2.	Simple grant agreement	12
	10.3.	Project specific legislation, policies and industry standards	12
		10.3.1. Child Safety Requirements	12
	10.4.	How we pay the grant	13
	10.5.	Tax obligations	13
	10.6.	Announcement and reporting of grants	13
11.	How v	we monitor your project	14
	11.1.	Keeping us informed	
	11.2.	Reporting	
		11.2.1. Progress reports	
		11.2.2. End of project report	
		11.2.3. Ad-hoc reports	

11.	.3. Ind	dependent audits	15
11.	.4. Co	ompliance visits	15
11.	.5. Gr	ant agreement variations	15
11.	.6. Ev	valuation	16
11.	.7. Gr	ant acknowledgement	16
12. Pro	obity		16
12.	.1. Cc	onflicts of interest	16
13. Ho	w we u	se your information	17
13.	.1. Hc	ow we handle your confidential information	17
13.	.2. WI	hen we may disclose confidential information	17
13.	.3. Hc	ow we use your personal information	18
13.	.4. Fr	eedom of information	18
14. En	quiries	and feedback	18
Appen	dix A.	Definitions of key terms	20
Appen	dix B.	Eligible expenditure	22
Ho	w we ve	erify eligible expenditure	22
Eli	gible exp	penditure items	22
Lal	bour exp	penditure	23
Lal	bour on-	-costs and administrative overhead	23
Co	ntract e	xpenditure	24
Tra	avel and	overseas expenditure	24
Pa	rticipant	expenditure	24
Oth	ner eligil	ble expenditure	25
Appen	dix C.	Ineligible expenditure	26

1. Safer Communities Fund: Round 4 processes

The Safer Communities Fund is designed to achieve Australian Government objectives

This grant opportunity is part of the above grant program which contributes to the then Department of Home Affairs' Outcome 1. The Department of Home Affairs worked with stakeholders to plan and design the grant program according to the *Commonwealth Grants Rules and Guidelines*.



The grant opportunity opens

We publish the grant guidelines on business.gov.au and GrantConnect.



You complete and submit a grant application

You complete the application form, addressing all the eligibility and merit criteria in order for your application to be considered.



We progressively assess grant applications

We review the applications against eligibility criteria and notify you if you are not eligible.

We assess eligible applications against the merit criteria including an overall consideration of value with relevant money.



We make grant recommendations

We provide advice to the decision maker on the merits of your application.



Grant decisions are made

The decision maker decides which applications are successful.



We notify you of the outcome

We advise you of the outcome of your application. We may not notify unsuccessful applicants until grant agreements have been executed with successful applicants.



We enter into a grant agreement

We will enter into a grant agreement with successful applicants. The type of grant agreement is based on the nature of the grant and proportional to the risks involved.



Delivery of grant

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.



Evaluation of the Safer Communities Fund

We evaluate the specific grant activity and Safer Communities Fund as a whole. We base this on information you provide to us and that we collect from various sources.

2. About the grant program

The Safer Communities Fund (the program) was established in 2016-17.

The broader Safer Communities Fund supports the Australian Government's commitment to deliver safer communities by:

- boosting the efforts of schools and pre-schools, community organisations and local councils to address crime and anti-social behaviour by funding crime prevention initiatives that benefit the wider community or community organisations (such as fixed and mobile CCTV and lighting)
- protecting schools and pre-schools, community organisations and local councils that may be facing security risks associated with racial and/or religious intolerance
- protecting children who are at risk of attack, harassment or violence stemming from racial or religious intolerance.

The intended outcomes of the broader program are to:

- contribute to the enhancement of community safety and improve security through local security infrastructure that benefits the community
- contribute to greater community resilience and wellbeing by addressing crime, anti-social behaviour and other security risks
- help to reduce fear of crime and increase feelings of safety in the Australian community and contribute to greater community resilience
- contribute to the safety of communities that may be at risk of racial and/or religious intolerance

We administer the program according to the <u>Commonwealth Grants Rules and Guidelines</u> (CGRGs)¹.

2.1. About the Safer Communities Fund: Round 4 grant opportunity

These guidelines contain information for the Safer Communities Fund Round 4 grants.

The objective of this grant opportunity is to protect children who are at risk of attack, harassment or violence stemming from racial or religious intolerance.

This document sets out:

- the eligibility and merit criteria
- how we consider and assess grant applications
- how we notify applicants and enter into grant agreements with grantees
- how we monitor and evaluate grantees' performance
- responsibilities and expectations in relation to the opportunity.

The Department of Industry, Science and Resources (the department/we) is responsible for administering this grant opportunity on behalf of the Attorney-General's Department.

We have defined key terms used in these guidelines in appendix A.

You should read this document carefully before you fill out an application.

¹ https://www.finance.gov.au/sites/default/files/commonwealth-grants-rules-and-guidelines.pdf

3. Grant amount and grant period

The Australian Government has announced a total of \$101.2 million for rounds 1 to 4 of the program. For round 4, approximately \$50.3 million is available over five years from 2019-20 to 2023-24.

3.1. Grants available

The grant amount will be up to 100 per cent of eligible project costs (grant percentage)

- The minimum grant amount is \$50,000
- The maximum grant amount per location or school campus is \$500,000
- The maximum grant amount per organisation is \$1,500,000.

You can only submit one application for funding and if you wish to apply for funding at multiple locations/campuses, your single application must encompass the activities that will take place at each location/campus.

For schools and pre-schools only: If you are the legal entity applying on behalf of a school or pre-school you can submit one application for each school or pre-school you are applying on behalf of. In this case, the maximum \$1.5 million grant amount applies to the individual school or pre-school and not the legal entity who is making the application on their behalf.

3.2. Project period

You must complete your project by 30 September 2023.

4. Eligibility criteria

We cannot consider your application if you do not satisfy all eligibility criteria.

If you are a school, you will need to determine your legal status before applying for a grant. Only schools who are legal entities can apply for a grant themselves. If your school is not a legal entity you will need to have your legal entity such as a state education department, catholic diocese or education authority, apply for a grant on your behalf.

If you are a public school, please check your legal status with your education authority.

A legal entity has legal capacity to enter into agreements or contracts, assume obligations, incur and pay debts, sue and be sued in its own right, and be held responsible for its actions.

4.1. Who is eligible?

To be eligible you must:

have an Australian Business Number (ABN)

and be one of the following entities:

- an incorporated not for profit organisation
- an Australian local government agency or body as defined in appendix A
- an Australian State/Territory Government agency or body
- a registered school or pre-school that is a legal entity (with its own unique ABN) and that can enter into a grant agreement in its own right
- a legal entity applying on behalf of a registered school or pre-school.

4.2. Additional eligibility requirements

If your project includes infrastructure activities as outlined in 5.1 Eligible activities, we can only accept applications where you:

- can confirm that you have the authority of the site owner or manager to undertake the project
 at the nominated site(s). You will be required to provide a letter from the site owner or manager
 using the letter template provided on business.gov.au prior to entering into a grant agreement
- can commit to start your project within 8 weeks of executing a grant agreement which can include project planning activities.

We cannot waive the eligibility criteria under any circumstances.

4.3. Who is not eligible?

You are not eligible to apply if you are:

- a partnership
- an unincorporated association
- an individual
- a trust (however, an incorporated trustee may apply on behalf of a trust provided it meets the eligibility criteria in 4.1 and 4.2 above)
- a school or preschool previously defunded by State or Territory or Australian Government agencies for performance and/or integrity reasons
- a school or preschool that has gone into administration or receivership.

4.4. What qualifications or skills are required?

If you are successful, all personnel working on the project must meet the following requirements:

- police clearance
- working with Children check
- working with Vulnerable People registration.

5. What you can use the grant for

5.1. Eligible activities

To be eligible your project must:

- protect children in your community who are at risk of attack, harassment or violence stemming from racial or religious intolerance
- have at least \$50,000 in eligible expenditure.

Eligible activities can include the following infrastructure and engagement activities:

- Infrastructure activities, such as installing:
 - o fixed or mobile CCTV cameras
 - security lighting
 - fencing
 - bollards
 - security and alarm systems
 - public address systems

- intercoms and swipe access.
- Employing or hiring security guards, licensed by the relevant state or territory agency, for schools or preschools.
- Engagement activities:
 - social cohesion projects that reduce the chance of children being attacked or harassed due to racial or religious intolerance
 - community and youth engagement forums targeted at people at risk of offending to reduce security risks stemming from racial or religious intolerance
 - training for staff at organisations who work with children with the aim of reducing racial or religious intolerance
 - other preventative activities that can demonstrate a reduction in the chance of children being attacked or harassed due to racial or religious intolerance.

If you are applying for funding at multiple locations/campuses, you should provide detail of the activities that will occur at each location in the application form.

We may also approve other activities.

5.2. Eligible expenditure

You can only spend grant funds on eligible expenditure you have incurred on an agreed project as defined in your grant agreement.

- For guidance on eligible expenditure, see appendix B
- For guidance on ineligible expenditure, see appendix C.

We may update the guidance on eligible and ineligible expenditure from time to time. If your application is successful, the grant opportunity guidelines in place when you submitted your application applies to your project.

If your application is successful, we may ask you to verify project costs that you provided in your application. You may need to provide evidence such as quotes for major costs.

Not all expenditure on your project may be eligible for grant funding. The Program Delegate makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

- be a direct cost of the project
- be incurred by you for required project audit activities.

You must incur the project expenditure between the project start and end date for it to be eligible unless stated otherwise.

You may start your project from the date we notify you that your application has been successful. We are not responsible for any expenditure you incur until a grant agreement is executed.

6. The merit criteria you need to address

You must address all merit criteria in your application. We will assess your application based on the weighting given to each merit criterion.

The application form asks questions that relate to the merit criteria below. The amount of detail and supporting evidence you provide in your application should be relative to the project size,

complexity and grant amount requested. You should provide evidence to support your answers. The application form displays size limits for answers.

We will only award funding to applications that score at least 50 per cent against each merit criterion, as these represent best value for money.

6.1. Merit criterion 1

The extent that your project will protect children who are at risk of attack, harassment or violence stemming from racial or religious intolerance (50 points).

You must demonstrate this by describing:

- a. how your project will protect children in your community who are at risk of attack, harassment or violence stemming from racial or religious intolerance (20 points).
- b. the extent that crime and/or anti-social behaviour towards children driven by racial or religious intolerance is an issue in your community (30 points).

You should provide evidence to support your claims that is specific to your community's location. If you are submitting an application for funding at multiple locations/campuses, you must provide relevant evidence for each location/campus such as:

- crime statistics
- letters of support from the local police
- police reports
- letters of support from the community or other organisations
- media articles
- photographs of recent criminal damage/vandalism
- a broader crime prevention strategy.

6.2. Merit criterion 2

The impact of grant funding on your project (30 points).

Demonstrate how the grant funding will assist your organisation by:

- a. describing the likelihood the project would proceed without the grant and explain how the grant will benefit the size and timing of your project. **(10 points)**.
- b. justifying the cost of your project including details of the security infrastructure you will install and/or the engagement activities you will deliver and the intended benefits. You should attach evidence such as quotes or a staffing budget to validate the costs of your project (20 points).

6.3. Merit criterion 3

Your capacity, capability and resources to deliver the project (20 points)

You must demonstrate this by describing:

- a. your plan to manage the project and key risks. Include detail on the key personnel who will manage the delivery of the project. If relevant, describe how you will manage access to CCTV footage and interactions between security guards and students. (10 points).
- b. how you will measure the success of the project (10 points).

7. How to apply

Before applying you should read and understand these guidelines and the sample <u>grant agreement</u> published on <u>business.gov.au</u> and GrantConnect.

You can only submit an application during a funding round.

To apply, you must:

- complete the online <u>program application form</u> on business.gov.au
- provide all the information requested
- address all eligibility and merit criteria
- include all necessary attachments.

You will receive confirmation when you submit your application. You should retain a copy of your application for your own records.

You are responsible for making sure your application is complete and accurate. Giving false or misleading information is a serious offence under the *Criminal Code 1995* (Cth). If we consider that you have provided false or misleading information we may not progress your application. If you find an error in your application after submitting it, you should call us immediately on 13 28 46.

If we find an error or information that is missing, we may ask for clarification or additional information from you that will not change the nature of your application. However, we can refuse to accept any additional information from you that would change your submission after the application closing time.

If you need further guidance around the application process, or if you are unable to submit an application online, contact us at business.gov.au or by calling 13 28 46.

7.1. Attachments to the application

Provide the following documents with your application:

- evidence to support your claims under merit criterion one that crime and/or anti-social behaviour towards children is an issue in the communities targeted by your project
- evidence to validate the costs of your project under merit criterion 2.

You must attach supporting documentation to the application form in line with the instructions provided within the form. You should only attach requested documents. We will not consider information in attachments that we do not request.

7.2. Timing of grant opportunity

You can submit an application at any time over the life of the grant opportunity. Grants will be assessed and recommended progressively, as received, until the available funding is exhausted.

If you are successful we expect you will be able to commence your project within 8 weeks of submitting an application.

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Assessment of applications	4 weeks
Approval of outcomes of selection process	4 weeks
Negotiations and award of grant agreements	1-3 weeks
Notification to unsuccessful applicants	2 weeks
Earliest start date of project	The date you are notified your application has been successful
End date of grant commitment	30 September 2023

8. The selection process

This is an open, non-competitive selection process. The round will be open for set periods as outline on page 1 of these guidelines and we assess applications progressively in the order they are received. You will not be able to submit applications outside of these timeframes.

We first review your application against the eligibility criteria. If eligible, we will then assess it against the merit criteria. Only eligible applications will proceed to the merit assessment stage. Applications that score at least 50% against each criterion will be recommended for funding.

We consider your application on its merits, based on:

- how well it meets the merit criteria
- whether it provides value with relevant money.

We may seek advice from other Commonwealth departments or independent technical experts.

When assessing whether the application represents value with relevant money, we will have regard to:

- the overall objectives of the grant opportunity
- the evidence provided to demonstrate how your project contributes to meeting those objectives
- the relative value of the grant sought.

If the selection process identifies unintentional errors in your application, we may contact you to correct or clarify the errors, but you cannot make any material alteration or addition.

8.1. Who will approve grants?

The Minister decides which grants to approve taking into account the application assessment and the availability of grant funds.

The Minister's decision is final in all matters, including:

- the grant approval
- the grant funding to be awarded
- any conditions attached to the offer of funding.

We cannot review decisions about the merits of your application.

The Minister will not approve funding if there is insufficient program funds available across relevant financial years for the program.

9. Notification of application outcomes

If you are successful, you will receive a written offer, including any specific conditions attached to the grant.

If you are unsuccessful, we will notify you in writing and give you an opportunity to discuss the outcome with us. You can submit a new application for the same (or similar) project under the current grant opportunity or any future funding rounds. You should include new or more information to address the weaknesses that prevented your previous application from being successful. If a new application is substantially the same as a previous ineligible or unsuccessful application, we may refuse to consider it for merit assessment.

10. If your application is successful

10.1. Grant agreement

You must enter into a legally binding grant agreement with the Commonwealth. The grant agreement has general terms and conditions that cannot be changed. A sample grant agreement is available on business.gov.au and GrantConnect.

We must execute a grant agreement with you before we can make any payments. Execute means both you and the Commonwealth have signed the agreement. We are not responsible for any expenditure you incur until a grant agreement is executed.

The approval of your grant may have specific conditions determined by the assessment process or other considerations made by the Minister. We will identify these in the offer of funding.

If you enter an agreement under the Safer Communities Fund, you cannot receive other grants for the same activities from other Commonwealth, State or Territory granting programs.

The Commonwealth may recover grant funds if there is a breach of the grant agreement.

10.2. Simple grant agreement

We will use a simple grant agreement for all projects.

You will have 30 days from the date of a written offer to execute this grant agreement with the Commonwealth. During this time, we will work with you to finalise details.

The offer may lapse if both parties do not sign the grant agreement within this time. Under certain circumstances, we may extend this period. We base the approval of your grant on the information you provide in your application. We will review any required changes to these details to ensure they do not impact the project as approved by the Minister.

10.3. Project specific legislation, policies and industry standards

You must comply with all relevant laws and regulations in undertaking your project. You must also comply with the specific legislation/policies/industry standards that follow. It is a condition of the grant funding that you meet these requirements. We will include these requirements in your grant agreement.

10.3.1. Child Safety Requirements

You must comply with all relevant legislation relating to the employment or engagement of anyone working on the project that may interact with children, including all necessary working with children checks.

You must implement the National Principles for Child Safe Organisations endorsed by the Commonwealth and available at: https://www.humanrights.gov.au/national-principles-child-safe-organisations.

You will need to complete a risk assessment to identify the level of responsibility for children and the level of risk of harm or abuse, and put appropriate strategies in place to manage those risks. You must update this risk assessment at least annually.

You will also need to establish a training and compliance regime to ensure personnel are aware of, and comply with, the risk assessment requirements, relevant legislation including mandatory reporting requirements and the National Principles for Child Safe Organisations.

You will be required to provide an annual statement of compliance with these requirements in relation to working with children.

10.4. How we pay the grant

The grant agreement will state the maximum grant amount we will pay.

We will not exceed the maximum grant amount under any circumstances. If you incur extra costs, you must meet them yourself.

We will make an initial payment on execution of the grant agreement. We will make subsequent payments, six monthly in advance, based on your forecast eligible expenditure and adjusted for unspent amounts from previous payments. Payments are subject to satisfactory progress on the project.

We may set aside at least five per cent of the total grant funding for the final payment. We will pay this when you submit either a satisfactory progress report with attached evidence showing that the project is progressing as per the agreed milestone table or a final report demonstrating you have completed all outstanding obligations for the project. We may need to adjust your progress payments to align with available program funds across financial years.

10.5. Tax obligations

If you are registered for the Goods and Services Tax (GST), where applicable we will add GST to your grant payment and provide you with a recipient created tax invoice. You are required to notify us if your GST registration status changes during the project period. GST does not apply to grant payments to government related entities².

Grants are assessable income for taxation purposes, unless exempted by a taxation law. We recommend you seek independent professional advice on your taxation obligations or seek assistance from the <u>Australian Taxation Office</u>. We do not provide advice on tax.

10.6. Announcement and reporting of grants

We will publish non-sensitive details of successful projects on GrantConnect, at a minimum. The government may make other public announcements, including media releases, about successful projects. We are required to do this by the *Commonwealth Grants Rules and Guidelines* unless otherwise prohibited by law. We may also publish this information on business.gov.au. This information may include:

- name of your organisation
- title of the project

_

² See Australian Taxation Office ruling GSTR 2012/2 available at ato.gov.au

- description of the project and its aims
- amount of grant funding awarded
- Australian Business Number
- business location
- your organisation's industry sector.

11. How we monitor your project

11.1. Keeping us informed

You should let us know if anything is likely to affect your project or organisation.

We need to know of any key changes to your organisation or its business activities, particularly if they affect your ability to complete your project, carry on business and pay debts due.

You must also inform us of any changes to your:

- name
- addresses
- nominated contact details
- bank account details.

If you become aware of a breach of terms and conditions under the grant agreement you must contact us immediately.

You must notify us of events relating to your project and provide an opportunity for the Minister or their representative to attend.

11.2. Reporting

You must submit reports in line with the grant agreement. We will provide sample templates for these reports as appendices in the grant agreement. We will remind you of your reporting obligations before a report is due. We will expect you to report on:

- progress against agreed project milestones
- project expenditure, including expenditure of grant funds

The amount of detail you provide in your reports should be relative to the project size, complexity and grant amount.

We will monitor the progress of your project by assessing reports you submit and may conduct site visits to confirm details of your reports if necessary. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

11.2.1. Progress reports

Progress reports must:

- include details of your progress towards completion of agreed project activities
- show the total eligible expenditure incurred to date
- include evidence of expenditure
- be submitted by the report due date (you can submit reports ahead of time if you have completed relevant project activities).

We will only make grant payments when we receive satisfactory progress reports.

You must discuss any project or milestone reporting delays with us as soon as you become aware of them.

11.2.2. End of project report

When you complete the project, you must submit an end of project report.

End of project reports must:

- include the agreed evidence as specified in the grant agreement
- identify the total eligible expenditure incurred for the project
- include a declaration that the grant money was spent in accordance with the grant agreement and to report on any underspends of the grant money
- be submitted by the report due date
- be in the format provided in the grant agreement.

11.2.3. Ad-hoc reports

We may ask you for ad-hoc reports on your project. This may be to provide an update on progress, or any significant delays or difficulties in completing the project.

11.3. Independent audits

We may ask you to provide an independent audit report. An audit report will verify that you spent the grant in accordance with the grant agreement. The audit report requires you to prepare a statement of grant income and expenditure. The report template is attached to the sample grant agreement.

11.4. Compliance visits

We may visit you during the project period, or at the completion of your project to review your compliance with the grant agreement. We may also inspect the records you are required to keep under the grant agreement. We will provide you with reasonable notice of any compliance visit.

11.5. Grant agreement variations

We recognise that unexpected events may affect project progress. In these circumstances, you can request a variation to your grant agreement, including:

- changing project milestones
- extending the timeframe for completing the project provided it can be completed by 31 March 2022.

Note the program does not allow for:

an increase of grant funds.

If you want to propose changes to the grant agreement, you must put them in writing before the project end date. We can provide you with a variation request template.

If a delay in the project causes milestone achievement and payment dates to move to a different financial year, you will need a variation to the grant agreement. We can only move funds between financial years if there is enough program funding in the relevant year to allow for the revised payment schedule. If we cannot move the funds, you may lose some grant funding.

You should not assume that a variation request will be successful. We will consider your request based on factors such as:

- how it affects the project outcome
- consistency with the program policy objective, grant opportunity guidelines and any relevant policies of the department
- changes to the timing of grant payments
- availability of program funds.

11.6. Evaluation

We will evaluate the grant program to determine how well the outcomes and objectives have been achieved. We may use information from your application and project reports for this purpose. We may also interview you, or ask you for more information to help us understand how the grant impacted you and to evaluate how effective the program was in achieving its outcomes.

We may contact you up to two years after you finish your project for more information to assist with this evaluation.

11.7. Grant acknowledgement

If you make a public statement about a project funded under the program, including in a brochure or publication, you must acknowledge the grant by using the following:

'This project received grant funding from the Australian Government.'

12. Probity

We will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRGs.

12.1. Conflicts of interest

Any conflicts of interest could affect the performance of the grant opportunity or program. There may be a conflict of interest, or perceived conflict of interest, if our staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government officer
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the grant program.

As part of your application, we will ask you to declare any perceived or existing conflicts of interests or confirm that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform us in writing immediately.

Conflicts of interest for Australian Government staff are handled as set out in the Australian <u>Public Service Code of Conduct (Section 13(7))</u>³ of the <u>Public Service Act 1999</u> (Cth)⁴. Committee members and other officials including the decision maker must also declare any conflicts of interest.

We publish our conflict of interest policy on the department's website5.

13. How we use your information

Unless the information you provide to us is:

- confidential information as per 13.1
- personal information as per 13.3,

we may share the information with other government agencies for a relevant Commonwealth purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of grants.

13.1. How we handle your confidential information

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- the information is commercially sensitive
- disclosing the information would cause unreasonable harm to you or someone else
- you provide the information with an understanding that it will stay confidential.

13.2. When we may disclose confidential information

We may disclose confidential information:

- to our Commonwealth employees and contractors, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the responsible Minister or Assistant Minister
- to a House or a Committee of the Australian Parliament.

We may also disclose confidential information if

- we are required or authorised by law to disclose it
- you agree to the information being disclosed
- someone other than us has made the confidential information public.

-

³ https://www.legislation.gov.au/Details/C2017C00270/Html/Text#_Toc491767030

⁴ https://www.legislation.gov.au/Details/C2017C00270

⁵ https://www.industry.gov.au/sites/g/files/net3906/f/July%202018/document/pdf/conflict-of-interest-and-insider-trading-policy.pdf

13.3. How we use your personal information

We must treat your personal information according to the Australian Privacy Principles (APPs) and the *Privacy Act 1988* (Cth). This includes letting you know:

- what personal information we collect
- why we collect your personal information
- to whom we give your personal information.

We may give the personal information we collect from you to our employees and contractors and other Commonwealth employees and contractors, so we can:

- manage the program
- research, assess, monitor and analyse our programs and activities.

We, or the Minister, may:

- announce the names of successful applicants to the public
- publish personal information on the department's websites.

You may read our Privacy Policy⁶ on the department's website for more information on:

- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

13.4. Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the *Freedom of Information Act 1982* (Cth) (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

14. Enquiries and feedback

For further information or clarification, you can contact us on 13 28 46 or by web chat or through our online enquiry form on business.gov.au.

We may publish answers to your questions on our website as Frequently Asked Questions.

Our <u>Customer Service Charter</u> is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

If you have a complaint, call us on 13 28 46. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

⁶ https://www.industry.gov.au/data-and-publications/privacy-policy

General Manager
Business Grants Hub
Department of Industry, Science and Resources
GPO Box 2013
CANBERRA ACT 2601

You can also contact the <u>Commonwealth Ombudsman</u>⁷ with your complaint (call 1300 362 072). There is no fee for making a complaint, and the Ombudsman may conduct an independent investigation.

⁷ http://www.ombudsman.gov.au/

Appendix A. Definitions of key terms

Term	Definition
Application form	The document issued by the Program Delegate that applicants use to apply for funding under the program.
Department	The Department of Industry, Science and Resources.
Eligible activities	The activities undertaken by a grantee in relation to a project that are eligible for funding support as set out in 5.1.
Eligible application	An application or proposal for grant funding under the program that the Program Delegate has determined is eligible for assessment in accordance with these guidelines.
Eligible expenditure	The expenditure incurred by a grantee on a project and which is eligible for funding support as set out in 5.2.
Eligible expenditure guidance	The guidance that is provided at Appendix B.
Grant agreement	A legally binding contract between the Commonwealth and a grantee for the grant funding
Grant funding or grant funds	The funding made available by the Commonwealth to grantees under the program.
GrantConnect	The Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRGs
Grantee	The recipient of grant funding under a grant agreement.
Guidelines	Guidelines that the Minister gives to the department to provide the framework for the administration of the program, as in force from time to time.
Local government agency or body	A local governing body as defined in the <i>Local Government</i> (<i>Financial Assistance</i>) <i>Act 1995</i> (Cth) and the ACT Government.
Minister	The Commonwealth Attorney-General.
Personal information	Has the same meaning as in the <i>Privacy Act 1988</i> (Cth) which is:
	Information or an opinion about an identified individual, or an individual who is reasonably identifiable:
	 a. whether the information or opinion is true or not; and
	 b. whether the information or opinion is recorded in a material form or not.
Program Delegate	A manager within the department with responsibility for the program.

Term	Definition
Program funding or Program funds	The funding made available by the Commonwealth for the program.
Project	A project described in an application for grant funding under the program.
Registered School or Preschool	A government or non-government school or preschool that is registered as an educational establishment with a state or territory registration authority and is headed by a principal or equivalent.

Appendix B. Eligible expenditure

This section provides guidance on the eligibility of expenditure.

The Program Delegate makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

- be incurred by you within the project period
- be a direct cost of the project
- be incurred by you to undertake required project audit activities
- meet the eligible expenditure guidelines.

How we verify eligible expenditure

If your application is successful, we may ask you to verify the project budget that you provided in your application when we negotiate your grant agreement. You may need to provide evidence such as quotes for major costs.

The grant agreement will include details of the evidence you may need to provide when you achieve certain milestones in your project. This may include evidence related to eligible expenditure.

If requested, you will need to provide the agreed evidence along with your progress reports.

You must keep payment records of all eligible expenditure, and be able to explain how the costs relate to the agreed project activities. At any time, we may ask you to provide records of the expenditure you have paid. If you do not provide these records when requested, the expense may not qualify as eligible expenditure.

At the end of the project, you may be required to provide an independent financial audit of all eligible expenditure from the project.

Eligible expenditure items

Eligible expenditure items can include:

- the cost of purchase and installation of:
 - fixed or mobile CCTV cameras
 - security lighting
 - fencing
 - bollards
 - security and alarm systems
 - public address systems
 - intercoms and swipe access
- security guard employment or hiring
- labour costs as outlined below
- contractor costs as outlined below
- travel costs as outlined below
- participant costs as outlined below

- education and training materials
- rental costs for buildings or facilities primarily used for project activities
- costs of running workshops, camps and events

other specific expenditure items may be eligible as determined by the Program Delegate.

Labour expenditure

Eligible labour expenditure for the grant covers the direct labour costs of employees you directly employ on the core elements of the project. We consider a person an employee when you pay them a regular salary or wage, out of which you make regular tax instalment deductions.

We consider costs for technical, but not administrative, project management activities eligible labour expenditure. However, we limit these costs to 10 per cent of the total amount of eligible labour expenditure claimed.

We do not consider labour expenditure for leadership or administrative staff (such as CEOs, CFOs, accountants and lawyers) as eligible expenditure, even if they are doing project management tasks.

Eligible salary expenditure includes an employee's total remuneration package as stated on their Pay As You Go (PAYG) Annual Payment Summary submitted to the ATO. We consider salary-sacrificed superannuation contributions as part of an employee's salary package if the amount is more than what the Superannuation Guarantee requires.

The maximum salary for an employee, director or shareholder, including packaged components that you can claim through the grant is \$175,000 per financial year.

For periods of the project that do not make a full financial year, you must reduce the maximum salary amount you claim proportionally.

You can only claim eligible salary costs when an employee is working directly on agreed project activities during the agreed project period.

Labour on-costs and administrative overhead

You may increase eligible salary costs by an additional 30% allowance to cover on-costs such as employer paid superannuation, payroll tax, workers compensation insurance, and overheads such as office rent and the provision of computers.

You should calculate eligible salary costs using the formula below:

You cannot calculate labour costs by estimating the employee's worth. If you have not exchanged money (either by cash or bank transactions) we will not consider the cost eligible.

Evidence you will need to provide can include:

- details of all personnel working on the project, including name, title, function, time spent on the project and salary
- ATO payment summaries, pay slips and employment contracts.

Contract expenditure

Eligible contract expenditure is the cost of any agreed project activities that you contract others to do. These can include contracting:

- another organisation
- an individual who is not an employee, but engaged under a separate contract.

All contractors must have a written contract prior to starting any project work—for example, a formal agreement, letter or purchase order which specifies:

- the nature of the work they perform
- the applicable fees, charges and other costs payable.

Invoices from contractors must contain:

- a detailed description of the nature of the work
- the hours and hourly rates involved
- any specific plant expenses paid.

Invoices must directly relate to the agreed project, and the work must qualify as an eligible expense. The costs must also be reasonable and appropriate for the activities performed.

We will require evidence of contractor expenditure that may include:

- an exchange of letters (including email) setting out the terms and conditions of the proposed contract work
- purchase orders
- supply agreements
- invoices and payment documents.

You must ensure all project contractors keep a record of the costs of their work on the project. We may require you to provide a contractor's records of their costs of doing project work. If you cannot provide these records, the relevant contract expense may not qualify as eligible expenditure.

Travel and overseas expenditure

Eligible travel expenditure may include

 domestic travel limited to the reasonable cost of accommodation and transportation required to conduct agreed project and collaboration activities in Australia

Eligible air transportation is limited to the economy class fare for each sector travelled; where non-economy class air transport is used only the equivalent of an economy fare for that sector is eligible expenditure. Where non-economy class air transport is used, the grantee will require evidence showing what an economy air fare costs at the time of travel.

Overseas travel costs are not eligible expenditure.

Participant expenditure

Eligible participant expenditure is the cost of subsidising, in part or full, expenses of children participating in project activities. Eligible participant expenditure may include:

- reasonable travel expenses including accommodation, meals, ground transport and airfares for children and chaperons where needed who are participating in project activities
- registration costs for events if applicable.

Other eligible expenditure

Other eligible expenditures for the project may include:

- staff training that directly supports the achievement of project outcomes
- financial auditing of project expenditure
- contingency costs up to a maximum of 10% of the eligible project costs. Note that we make payments based on actual costs incurred.
- costs directly associated with the installation of crime prevention equipment. For example digging trenches for cabling or erecting poles for CCTV.

Other specific expenditures may be eligible as determined by the Program Delegate.

Evidence you need to supply can include supplier contracts, purchase orders, invoices and supplier confirmation of payments.

Appendix C. Ineligible expenditure

This section provides guidance on what we consider ineligible expenditure.

The Program Delegate may impose limitations or exclude expenditure, or further include some ineligible expenditure listed in these guidelines in a grant agreement or otherwise by notice to you.

Examples of ineligible expenditure include:

- activities, equipment or supplies that are already being supported through other sources
- costs incurred prior to having a grant agreement executed
- any in-kind contributions
- financing costs, including interest
- capital expenditure for the purchase of assets such as office furniture and equipment, motor vehicles, computers, printers or photocopiers
- costs related to security infrastructure for buildings that do not currently exist, or that require other major works to be completed first
- costs involved in the purchase or upgrade/hire of software (including user licences) and ICT hardware (unless it directly relates to the project)
- costs such as renovations and utilities
- maintenance of vehicles and equipment
- non-project-related staff training and development costs
- insurance costs (the participants must effect and maintain adequate insurance or similar coverage for any liability arising as a result of its participation in funded activities)
- debt financing
- costs related to obtaining resources used on the project, including interest on loans, job advertising and recruiting, and contract negotiations
- costs of purchasing, leasing, depreciation of, or development of land
- routine operational expenses, including communications, accommodation, office computing facilities, printing and stationery, postage, legal and accounting fees and bank charges
- costs related to preparing the grant application, preparing any project reports (except costs of independent audit reports we require) and preparing any project variation requests
- fund raising or sponsorship costs
- overseas costs
- personal protection for specific individuals
- large scale capital equipment and capital works including the purchase or lease of land and/or purchase, construction or maintenance of buildings.

This list is not exhaustive and applies only to the expenditure of the grant funds. Other costs may be ineligible where we decide that they do not directly support the achievement of the planned outcomes for the project or that they are contrary to the objective of the program.

You must ensure you have adequate funds to meet the costs of any ineligible expenditure associated with the project.