

Smart Cities and Suburbs Program Round 2

Version April 2018

This document shows the questions included in the online application form for this grant opportunity. It will help you prepare your responses and the mandatory attachments you need before you apply online.

Do not use this document as your application form. You will need to submit an application using the online form once the grant opportunity is open to applications.

About the Smart Cities and Suburbs Program Round 2

The \$50 million Smart Cities and Suburbs Program was announced as part of the Australian Government's <u>Smart Cities Plan.</u>

The program supports projects that apply smart technology, data-driven decision making and people-focused design to deliver economic, social and environmental benefits in metropolitan and regional urban centres.

Projects will deliver innovative solutions that transform the government sector, advance community goals and address the needs of residents. The program will help to establish smart city innovation eco-systems and move Australia towards a global leadership position in smart city solutions.

Collaborative projects involving partners across multiple sectors and urban centres will:

- grow smart cities capability and capacity through shared knowledge and expertise
- drive innovation and wide adoption of solutions
- advance standards and improve regulation
- realise greater outcomes through maximum leverage of program funding.

The program will run over three years from 2017-18 to 2019-20. Successful projects under Round One of the program were announced on 17 November 2017. Forty-nine projects will receive a total of \$27.7 million in Australian Government funding across all states and territories. Round Two will deliver an additional set of innovative smart technology projects. For this grant opportunity, approximately \$22 million will be available until 30 June 2020

Completing this form

Please read the **Grant Opportunity Guidelines** before completing this application.

This application form contains the following:

- Part A Eligibility
- Part B Applicant information
- Part C Project details and funding
- Part D Merit criteria
- Part E Supporting documentation
- Part F Contact details
- Part G Applicant declaration

Disclosure of information

The Commonwealth's use and disclosure of your information (provided in this application or otherwise) is set out in the Smart Cities and Suburbs Program Round 2 Grant Opportunity Guidelines.

Getting help

If you require assistance completing this application form please contact us on 13 28 46 or at business.gov.au. Our website and staff can help you with forms, finding business information and services and allow you to provide feedback, comments or suggestions.

You should also read and understand the Grant Opportunity Guidelines and general grant agreement before completing an application. View these documents at business.gov.au.

Submitting your application

Applications may be submitted at any time up until 5.00pm AEST on 2 July 2018.



A. Eligibility

A.1. Eligible entities

This section will help you determine whether you are eligible to apply for the grant opportunity.

You are required to answer all questions in this section.

Does your organisation have an ABN?	yes	no
Is your organisation an Australian local government agency or body as defined in appendix A in the grant opportunity guidelines * This includes the ACT Government	yes	no

Does your project involve the innovative application of knowledge, hardware or software that is new to the organisation, the local government area, city, region or country or delivers an outcome which has not previously been realised in your community?	yes	no
Will your project involve at least one partner organisation during the life of the project?	yes	no
You can partner with organisations such as other local government agencies or bodies, private companies, research organisations and not-for-profit bodies to deliver collaborative smart city projects. Projects that involve multiple organisations and increased collaboration are encouraged.		
If you already have project partners in place, you must attach a letter of support from the Mayor, Chief Executive Officer or Chief Financial Officer of each project partner, including in-principle allocation of any funding they will contribute to the project. To be eligible for the program, lead applicants must use the Lead Applicant letter of support template, and project partner organisations must use the Project Partner Organisations letter of support template, on business.gov.au.		
We recognise organisations may not be able to nominate a project partner at the time of application. Where a project partner cannot be nominated, you must commit to engaging a project partner during the life of the project and identify the goods and services to be procured in this application form.		
Are you able to start your project within two months of signing a grant agreement with the department? This can include procurement planning.	yes	no
We anticipate that grant agreements will be in place by 19 November 2018.		
The earliest date you can start your project and incur eligible expenditure is the date of your letter of offer. If you choose to start your project before you enter into a grant agreement with the Commonwealth, you do so at your own risk.		
Does your project have at least \$500,000 in eligible expenditure?	ges	no
The minimum grant amount is \$250,000 (with a minimum project value of \$500,000). The maximum grant amount is \$5 million however the maximum project value can exceed \$10 million. Please refer to Appendix B of the Grant Opportunity Guidelines for more information on eligible expenditure.		

Can you provide evidence that you can fund your minimum 50 per cent share
You must provide a letter from the Mayor, Chief Executive Officer, Chief Financial Officer or equivalent of each partner organisation (including the lead applicant) outlining their in-principle cash contribution, and confirming they can fund their share of eligible project costs, exclusive of in-kind contributions as defined in appendix A. To be eligible for the program, lead applicants must use the Lead Applicant letter of support template, and project partner organisations must use the Project Partner Organisations letter of support template, on business.gov.au
Eligible contributions are:
 Cash used by the grantee or a project partner in undertaking the agreed project activities; and/or
 Cash provided to the grantee by a project partner for the purpose of undertaking the agreed project activities.
Project partner organisations that are funded from Commonwealth government

If you answered 'yes' to all of the questions above you are eligible to apply for this program.

sources, such as the CSIRO or Regional Development Authorities, cannot contribute to eligible project costs. Therefore any financial contributions from these organisations towards your project cannot be used to fund your share of

project costs.

For further information regarding eligibility requirement for this program refer to the Smart Cities and Suburbs Program Grant Opportunity Guidelines.

B.	Applicant information
B.1.	Project partners
Do you	have project partners in place for your project?
grant ag	d applicant must complete this form and if the application is successful will enter into a greement with the Commonwealth. The lead applicant must be an Australian local nent agency or body.
∐ ye:	s no
services	st include details of the engagement of project partner/s and identify the goods and s to be procured in one of the project's early Milestones. This is required in the Project nes and Key Activities section of the application form.
Please	list all the project partner organisations for this application, starting with the lead applicant.
Please	enter the ABN of all Australian Partners in the application.
Lead A	Applicant Australian Business Number (ABN)
Austra	lian Company Number (ACN)
Entity	Name
Letter	of in-principle commitment from your organisation including ability to co-contribute funding
princip contrib	ust attach a letter from your Mayor, Chief Executive Officer or Chief Financial Officer with in- ole commitment to the project and in-principle allocation of funding your organisation will oute to the project. To be eligible for the program, lead applicants must use the Lead ant letter of support template on business.gov.au
B.2.	Applicant details
Austra	lian Business Number (ABN)
Austra	lian Company Number (ACN)
If appl	icable

	me refers to the name that appears on all official do nay be different from the business name.	ocuments or legal papers. The
Entity name		
business nam	s may have registered one or more nes. If you operate under a business or you can record alternate name(s) here.	
Business/Trac	ding name	
GST registere	ed?	☐ yes ☐ no
B.3. ANZS	SIC details	
	opplicant's main business activity under a and New Zealand Standard Industrial (ANZSIC)?	
Australian Bu	codes and titles are available from the reau of Statistics (ABS) website. Phone ou require assistance.	
	ess details Organisation Street Address (Australian Head Office	e).
Suburb/ town		
State/ territory		
Postcode		
Provide your O	organisation Postal Address.	
Same as	s your organisation street address, go to next section	on.
Different	to your organisation street address, provide details	s below.
Address		
Suburb/ town		
State/ territory	/	
Postcode		
Country	Australia	

B.5. Website address Provide your organisation's website address. Project site address B.6. no Will your project's activities occur solely at the above listed head office | | yes address? If you answered 'yes' go the next question. If you answered 'no' complete the following table. Project site address must be a street address not postal. Site address 1 Address Suburb/ town State/ territory Postcode Country Australia Site address 2 Address Suburb/ town State/ territory Postcode Country Australia Site address 3 Address Suburb/ town State/ territory Postcode Australia Country Latest financial year figures B.7. | |no Has the applicant existed for a complete financial year? __ yes If you answered 'yes', enter the latest completed financial year, then complete

the table below.

Example entry 2013-14		
If you answered ' no ', enter the number of months completed it to date, then complete the table below.	n financial year r	months
We collect the following data from all applicants across all gran better understand your organisation and to help us develop bet	·	
All amounts in the table below must show a whole dollar value as \$1,000,000. The turnover value must be that of the incorpora application (the 'applicant'), regardless of whether the entity be purposes.	ated entity that is makin	g the grant
Sales revenue (turnover)	☐ n/a	\$
Total revenue from the sale of goods and services, as reported in the applicant's Business Activity Statement (BAS).		
Export revenue	□ n/a	\$
Total revenue from export sales, as reported in the applicant's Business Activity Statement (BAS).		
R&D expenditure	n/a	\$
Expenditure on Research and Development, i.e. creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man, culture and society, and the use of this stock of knowledge to devise new applications.		
Taxable income	n/a	\$
Taxable income or loss as per the applicant's business income company tax return form.		
Employees, including working proprietors and salaried directors (headcount)	n/a	
No of individuals who are entitled to paid leave (sick and managing the business.		
Independent contractors (headcount)	☐ n/a	
No of individuals engaged by the business under a commercial contract (rather than an employment contract) to provide employee-like services on site.		

B.8. Project partner organisation details

Project partner 1

Australian Business Number (ABN)

Australian Company Number (ACN)	
Entity Name	
Select which type of entity the partner organisation is	
a local government agency or body	
an entity incorporated in Australia	
an incorporated trustee on behalf of a trust	
For trustees applying on behalf of a trust, you must enter the details of the trustee	acing
in its capacity as a trustee on behalf of a trust.	
not for profit organisation	
a Publicly Funded Research Organisation (PFRO) which is defined as all higher education providers listed at Table A and Table B of the Higher Education Suppor	t Act
2003 (Cth) and corporate Commonwealth entities, and State and Territory business	
enterprises which undertake publicly funded research.	
other organisations, such as state and territory governments, statutory authorities other government business enterprises, regional development authorities, regional	
organisations of councils	u
Role*	
Adviser	
Supplier	
Developer	
Analyst	
Researcher	
Technical Expert	
Test Partner	
Project Collaborator	
Other (please specify)	

Funding Contribution *

11 of 27

Provide the eligible cash contribution from the project partner over the life of the project. Do not include any in-kind contributions. Refer to the Grant Opportunity Guidelines for guidance on eligible expenditure.

Eligible contributions are:

- Cash used by the grantee or a project partner in undertaking the agreed project activities;
 and/or
- Cash provided to the grantee by a project partner for the purpose of undertaking the agreed project activities.

Project partner organisations that are funded from Commonwealth government sources, such as the CSIRO or Regional Development Authorities, cannot contribute to eligible project costs. Therefore any financial contributions from these organisations towards your project cannot be used to fund your share of project costs.

Funding Contribution *	

You must attach a letter of support from the Mayor, Chief Executive Officer, Chief Financial Officer or equivalent of each project partner, including in-principle allocation of any funding they will contribute to the project. To be eligible for the program, project partner organisations must use the Project Partner Organisations letter of support template, on business.gov.au

C. Project details and funding

C.1. Project title and description

If your application is successful, the details you provide below will be published on the departmental website and the DTA Smart Cities Collaboration Platform. Published project details will include:

- name of the applicant
- title of the project
- a description of the project and its intended outcomes
- amount of funding awarded.

Provide a project title.

If the application is successful, this project title may be used by the Australian Government in published material.

Example project title: Eastern Suburbs Council Smart Waste Collection Project.

70 character limit

Provide a brief project description for publication on our website.

Ensure your project description focuses on your project's key activities and outcomes. Explain what it is you are going to do and how it will help local governments and communities use smart technology and increase the accessibility and use of public data.

Example project description:

Smart City Council's smart infrastructure project to integrate sensors, vision systems, and wireless and network controls to share mass communication about traffic and weather conditions.

Smart City Council's smart precincts project to improve building comfort, through mitigation of urban heat and other weather extremes using intelligent systems.

750 character limit

C.2. Technology type

What is the main technology type for your project? *

While you may utilise multiple technology types on your project, please identify the primary technology type to be used. This will assist the Assessment Committee when undertaking the merit assessment process.

•	Water management	
•	Parking/traffic management	

•	Infrastructure planning	
•	Service (customer/information services)	
•	Smart lighting/CCTV/security	
•	Waste management	
•	Data/software/platform	
•	Fibre optics	
•	3D technology	
•	Sensor technology	
•	Mobile phone/WiFi/GPS/Apps	
•	Solar technology	
•	other	
C.3	. Project Priority Area	
	u can select more than one. More detail on the priority areas can be found Table 1 of the program guidelines.	
٠.	Smart Infrastructure	
-	proving the efficiency, reliability, delivery and maintenance of infrastructure dessential services	
•	Smart Precincts	
	Making community precincts more liveable, productive, sustainable and safe	
•	Smart Services and Communities Delivering community focussed local government services	
•	Smart Planning and Design Building adaptable and resilient cities through improved land use, strategic planning and governance	
C.4	. Summary of activities and outcomes	
	ride a summary of the project's key activities and project outcomes. Detail the ect will address using a smart technology solution.	challenge your
1000	character limit	

C.5. Project plan

Milestone 1

You must provide a detailed plan of the project activities you will conduct including timetable and governance arrangements particularly if your project involves multiple project partners. The project plan must be commensurate with the size and complexity of the project.

C.6. Project milestones and key activities.

Provide details on the activities occurring at each milestone.

The start date of milestone 1 is the expected project start date. The end date of your last milestone activity will be the project end date.

Include timeframes for any procurement activities, listing any products or services you will procure as part of the project and including finalisation of any tenders, and when you expect to have engaged project partners.

We make an initial payment on execution of the grant agreement covering up to 6 months of eligible expenditure. We will make subsequent payments in arrears based on your achievement of activities and your eligible expenditure.

You can enter a maximum of 10 milestones. It is suggested that you group similar/linked activities under one milestone.

Milestone title		
Milestone description		
Milestone start date	Milestone end date	
Milestone 2		
Milestone title		
Milestone description		

	one start date	Milestone end date
Miles	tone 3	
Vilesto	one title	
Milesto	one description	
Milasto	one start date	Milestone end date
ivillesic		
IVIIICSIC		
	Project duration – Start d	ate cannot be before 8 October 2018, end date
C.7.	Project duration – Start d cannot be later than 30 J	ate cannot be before 8 October 2018, end date une 2020

C.8. Project budget

Provide details on your total eligible project costs over the life of the project. This includes the requested grant funding and all cash co-contributions. Do not include any in-kind contributions.

Amounts must be GST exclusive. Use whole dollars only. Note, the minimum grant amount under the program is \$250,000 and the maximum grant amount is \$5 million.

Total project costs must equal the total eligible project costs.

We only provide grant funding based on eligible expenditure. Refer to the Grant Opportunity Guidelines for guidance on eligible expenditure.

Cost item	FY	FY	Total
	2018-19	2019-20	
Labour costs	\$	\$	\$
Contractor costs	\$	\$	\$
Intellectual property and technology acquisition	\$	\$	\$
Travel costs	\$	\$	\$
Other costs	\$	\$	\$
Total	\$	\$	\$

Attachment: You must attach a detailed project budget commensurate with the size and complexity of your project. An example project budget document is on www.business.gov.au.

C.9. Source of funding

Complete the table below to show how you will fund the eligible project costs.

Eligible contributions are:

- Cash used by the grantee or a project partner in undertaking the agreed project activities; and/or
- Cash provided to the grantee by a project partner for the purpose of undertaking the agreed project activities.

Project partner organisations that are funded from Commonwealth government sources, such as the CSIRO or Regional Development Authorities, cannot contribute to eligible project costs. Therefore any financial contributions from these organisations towards your project cannot be used to fund your share of project costs.

Amounts must be GST exclusive and the total eligible project costs must equal the total project budget amount in the project budget table. Note, the minimum grant amount under the program is \$250,000 and the maximum grant amount is \$5 million.

a. Applicant's contribution (\$A)	\$
b. Total project partner contribution (\$A)	\$
The total project partner contribution is prefilled from the Application Information section where you entered funding contributions for each project partner.	
c. Program grant amount (\$A)	(minimum \$250,000 , maximum \$5 million)\$
d. Other non-Commonwealth government funding (\$A)	\$

Total eligible project costs (\$A) (minimum \$500,000) \$ The Total eligible project costs figure is prefilled from the Project budget table above and must also equal the sum of parts a, b, c and d above. Grant percentage (%) (Cannot exceed 50%) % Total government grant percentage (%) %

The project cannot be funded by this grant in conjunction with any other Commonwealth grant. The total Commonwealth government funding cannot exceed 50%.

If you are receiving other non-Commonwealth government funding as indicated in the table above, provide details of the other government grant funding.

Program name	Funding amount
	\$
	\$
Total	\$

D. Merit criteria

To be competitive you will need to score highly against each merit criterion. Your application will be assessed against the indicators listed beneath each merit criterion. You should address each indicator in your response. The merit criteria are weighted as indicated by the points. The amount of detail you provide should be commensurate with the project size, complexity and grant amount requested.

We will only assess the written responses to the merit criteria you provide in the fields below.

We only accept the mandatory attachments outlined in section 7.1 of the Grant Opportunity Guidelines to support your application. We will not consider information in attachments that we do not request.

D.1. Merit criterion one (30 points)

The extent to which your project is innovative and uses smart technology and open data In assessing this criterion, we will consider:

- the extent to which your project aligns with the <u>Smart Cities Plan</u>, including the extent that your project uses smart technology, promotes open data, uses available <u>standards</u> and supports interoperability, accessibility, integration and openness of systems.
- the extent to which your project is innovative and demonstrates an advanced application of smart technology.

5000 character limit

D.2. Merit criterion two (30 points)

The scope of social, environmental and economic benefits your project will deliver In assessing this criterion, we will consider:

- the level of social, environmental and economic project benefits to be gained.
- the degree to which your project will demonstrate the potential for replicating or scaling up your solution in other communities or nationally.

5000 character limit

D.3. Merit Criterion three (20 points)

The extent of the project's community focus and impact on the liveability of the cities, suburbs and towns

In	assessing	thic	criterion	we will	consider.
111	assessinu	เบเเอ	CHIEHOH.	WE WIII	consider.

- the level of engagement with citizens to ensure the project addresses community needs and has the support of the community.
- your strategy to measure the project's success, including any targets and metrics.
- how your project aligns with relevant local plans (e.g. regional or local smart city plans)

5000 character limit

D.4. Merit Criterion Four (20 Points)

Your capacity, capability and resources to carry out the project

To assess this criterion, we will consider:

- the relevant skills and experience of the lead applicant and project partners and how the collaboration will help deliver project outcomes.
- your plan to manage the project (including key risks and governance arrangements) and your plan to extend project outcomes once the project is complete.
- the total cash contributions the grant will leverage from each project partner. You are required to contribute at least 50% of the total eligible project expenditure. Any additional cash contributions over the 50 per cent minimum requirement will be highly regarded.

5000 character limit

E. Supporting documents

You should note any supporting documentation that you attach to the application here. The following restrictions apply to attachments:

- total size of all attachments and this application form should not exceed 20MB
- only files with the following file type extension can be uploaded (.pdf, .rdtf, .doc, .docx, .xls, .xlsx)

For assistance with any technical issues experienced while completing this application form or attaching documents please phone 13 28 46. Our staff can help you.

E.1. Attachment 01 – Project Partner Organisations letter of support template

This is mandatory for all applicants that have identified a project partner in B8.

Part of application form	Type of attachments	Attached?
Part B08 – project partners	You need to attach a letter of support from the Mayor, Chief Executive Officer or Chief Financial Officer of each project partner, including in-principle allocation of any funding they will contribute to the project.	yes
	Each letter of support should include	
	 details of the project partner organisation 	
	 an overview of how the organisation will work with the lead organisation and any other project partners to successfully complete the grant project 	
	 an outline of the relevant experience and/or expertise the organisation will bring to the group 	
60	 the roles and responsibilities of the organisation, and the resources it will contribute (if any) 	
	 the in-principle allocation of any funding they will contribute to the project 	
	 details of a nominated management level contact officer. 	

E.2. Attachment 02 – Lead Applicant letter of support template (mandatory)

Part of application form	Type of attachments	Attached?
Part A2 – additional eligibility	You need to attach a letter from your Mayor, Chief Executive Officer or Chief Financial Officer with in-principle commitment to the project and in-principle allocation of the funding your organisation will contribute to the project.	☐ yes

E.3. Attachment 03 – Detailed project plan (mandatory)

Part of application form	Type of attachments	Attached?
Part C5 – project plan	A detailed plan of the project activities you will conduct including timetable and governance arrangements particularly if your project involves multiple project partners. The project plan must be commensurate with the size and complexity of the project.	yes

E.4. Attachment 04 – Detailed project budget (mandatory)

Part of application form	Type of attachments	Attached?
Part C8 – project budget	A detailed project budget commensurate with the size and complexity of your project	yes

F. Contact details

F.1. Details of primary contact

Person authorised to act on behalf of the applicant.

(Note: You must provide at least one phone or mobile number. Other than title, all the remaining fields below are mandatory unless stated otherwise.)

Provide details of the primary contact.
Title
Given name
Family name
Position title
Phone number
Mobile number
Email address
Provide the postal address of the primary contact
Address
Suburb/ town
State/ territory
Postcode
Country Australia
F.2. Contact's relationship to applicant
Is the applicant the primary contact's employer?
If you answered 'yes' go the next question. If you answered 'no' complete the following table.
What is the relationship of the primary contact to the applicant?
Name of primary contact's employer
Australian Business Number (ABN) of primary contact's employer

Provide a contact for the applicant organisation	
Title	
Given name	
Family name	
Position title	
Phone number	
Mobile number	
Email address	

F.3. How did the applicant hear about the program?

If Other, please specify:

G. Applicant declaration

G.1. Privacy and confidentiality provisions

I acknowledge that this is an Australian Government program and that the department will use the information I provide in accordance with the following

- Australian Government Public Data Policy Statement
- Commonwealth Grants Rules and Guidelines
- grant opportunity guidelines
- applicable Australian laws.

Accordingly, I understand that the department may share my personal information provided in this application within this department and other government agencies:

- a. for purposes directly related to administering the program, including governance, research and the distribution of funds to successful applicants and
- b. to facilitate research, assessment, monitoring and analysis of other programs and activities unless otherwise prohibited by law.

I understand that where I am successful in obtaining a grant, the financial information that I provide for the purposes of payment will be accessible to departmental staff to enable payments to be made through the department's accounts payable software system.

I understand that information that is deemed 'confidential' in accordance with the guidelines may also be shared for a relevant Commonwealth purpose.

The department will publish information on individual grants in the public domain, including on the department's website, unless otherwise prohibited by law.

G.2. Applicant declaration

I declare that I have read and understood the grant opportunity guidelines, including the privacy, confidentiality and disclosure provisions.

I declare that the proposed project outlined in this application and any associated expenditure has been endorsed by the applicant's Board or person with authority to commit the applicant to this project.

I declare that the applicant will comply with, and require that its subcontractors and independent contractors comply with, all applicable laws.

I declare that the information contained in this application together with any statement provided is, to the best of my knowledge, accurate, complete and not misleading and that I understand that giving of false or misleading information is a serious offence under the *Criminal Code 1995* (Cth).

I acknowledge that I may be requested to provide further clarification or documentation to verify the information supplied in this form and that the department may, during the application process, consult with other government agencies, including state and territory government agencies, about the applicant's claims and may also engage external technical or financial advisors to advise on information provided in the application.

I acknowledge that if the department is satisfied that any statement made in an application is incorrect, incomplete, false or misleading the department may, at its absolute discretion, take appropriate action. I note such action may include excluding an application from further consideration; withdrawing an offer of funding; using the information contained in the application for a fraud investigation that would be consistent with the Australian Government's Investigations Standard and Commonwealth Fraud Control Framework and for management purposes and/or terminating any grant agreement between the Commonwealth and the recipient including recovering funds already paid.

I agree to participate in the periodic evaluation of the services undertaken by the department.

I declare that I am authorised to complete this form and acknowledge that by including my name in this application I am deemed to have signed this application.

I approve the information in this application being communicated to the department in electronic form.

	By checking this box I agree to all of the above declarations and confirm all of the above statements to be true
G.3.	Signature
Nam	e of signatory
Emai	l address of signatory
Date	
Signa	ature

